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Applicant: Choong Hung Viktor CHENG et al.

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: August 20, 2001

Examiner: Unknown

: KNOWLEDGE DISCOVERY SYSTEM

CLAIM OF PRIORITY

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicant hereby claims the right of priority granted pursuant to 35 U.S.C. 119 based upon Singapore Application No. 200004781-1, filed August 21, 2000. As required by 37 C.F.R. 1.55, a certified copy of the Singapore application is being submitted herewith.

Respectfully submitted, Choong Hung Viktor CHENG et al.

Bruce H. Bernstein

33,329

Reg. No. 29,027

October 2, 2001 GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191

REGISTRY OF PATENTS SINGAPORE

This is to certify that the annexed is a true copy of the following Singapore patent application as filed in this Registry.

Date of Filing

21 AUGUST 2000

Application Number

200004781-1

Applicant(s)

KENT RIDGE DIGITAL LABS

Title of Invention

: KNOWLEDGE DISCOVERY SYSTEM

CERTIFIED COPY OF PRIORITY DOCUMENT

CHIG KAM TACK
Assistant Registrar
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PATENTS FORM 1

SINGAPORE
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PATENTS RULES

Rule 19

The Registrar of Patents Registry of Patents

REQUEST FOR THE GRANT OF A PATENT

THE GRANT OF A PATENT IS REQUESTED BY THE UNDERSIGNED ON THE BASIS OF THE PRESENT APPLICATION.

I. Title of Invention	KNOWLEDGE DISCOVERY SYSTEM					
II. Applicant (s) (See note 2)	(a) Name	Kent Ridge Digital Labs				
9	Body Description / Residency	A company organized and existing under the laws of Singapore				
	Street Name & Number	21 Heng Mui Keng Terrace				
		Singapore 119613				
	City					
	State					
	Country					
	(b) Name					
	Body Description / Residency					
	Street Name & Number					
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III.	Declaration	Country / Country Designated					√o.		
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Claiming an earlier filing date under section 20 (3), 26(6) or 47(4)			pplication No						
(see note 7)		Filing Date							
		() Proce Date on wl	eding unde hich the ear	evant space provided]: r rule 27(1)(a). rlier application was ar r rule 27(1)(b).	•				

VIII. Invention has been displayed at an International Exhibition (See note 8)		YES	×	NO				
IX. Section 114 requirements (See note 9)	The invention relates to and/or used a micro-organism deposited for the purposes of disclosure in accordance with Section 114 with a depository authority under the Budapest Treaty							
		YES	×	NO				
X. Check List (To be filled in by	A. The application contains the following number of sheet(s):-							
applicant or agent)	1. Request.		4	Sheets				
	2. Description.		20	Sheets				
3	3. Claim (s).		6	Sheets				
	4. Drawing (s).		46	Sheets				
	5. Abstract.		1	Sheets				
	B. The application as filed is accompanied by:-							
	1. Priority document.							
· 	2. Translation of priority							
	3. Statement of Inventors		х					
	4. International Exhibition							
XI. Signature (s)	Applicant (a)	11_			- I			
(See note 10)	Date	18 August 2000						
	Applicant (b)							
- ·	Date			<u>.</u>				
	Applicant (c)							
	Date							

- 1. This form when completed, should be brought or sent to the Registry of Patents together with the prescribed fee and 3 copies of the description of the invention, and of any drawings.
- Enter the <u>name and address of each applicant</u> in the spaces provided at paragraph II. <u>Names of individuals</u> should be indicated in full and the surname or family name should also underlined. <u>The names of all partners</u> in a firm must be given in full. <u>The place of residence of each individual</u> should also be furnished in the space provided. Bodies corporate should be designated by their <u>corporate name</u> and <u>country of incorporation</u> and, where appropriate, the <u>state of incorporation</u> within that country should be entered where provided. Where more than three applicants are to be named, the names and address of the fourth and any further applicants should be given on a <u>separate sheet</u> attached to this Form together with the <u>signature of each of these further applicants</u>.
- 3. The declaration of priority at paragraph III should state the date of the previous filing, and the country in which it was made and indicate the file number, if available. When the application relied upon in an International Application or a regional patent application e.g. European patent application, one of the countries designated in that application [being one falling under the Patents (Convention Countries) Order] should be identified and the name of that country should be entered in the space provided.
- 4. Where the applicant or applicants is/are the sole inventor or the joint inventors, paragraph IV should be completed by marking the "YES' Box in the declaration (a) and the 'NO' Box in the alternative statement (b). Where this is not the case, the 'NO' Box in declaration (a) should be marked and a statement will be required to be filed on Patents Form 8.
- 5. If the applicant has appointed an agent to act on his behalf, the agent's name should be indicated in the spaces available at paragraphs V.
- An address for service in Singapore to which all documents may be sent must be stated at paragraph VI. It is immended that a telephone number be provided if an agent is not appointed.
- 7. When an application is made by virtue of section 20(3), 26(6) or 47(4), the appropriate section should be identified at paragraph VII and the number of the earlier application or any patent granted thereon identified. Applicants proceeding under section 26(6) should identify which provision in rule 27 they are proceeding under. If the applicants are proceeding under rule 27(1)(a), they should also indicate the date on which the earlier application was amended.
- 8. Where the applicant wishes an earlier disclosure of the invention by him at an International Exhibition to be disregarded in accordance with section 14(4)(c), then the 'YES' box at paragraph VIII should be marked. Otherwise the 'NO' box should be marked.
- 9. Where in disclosing the invention the application refers to one or more micro-organisms deposited with a depository authority under the Budapest Treaty, then the 'YES' box at paragraph XI should be marked. Otherwise the 'NO' box should be marked.
- 10. Attention is drawn to rules 90 and 105 of the Patent Rules. Where there are more than three applicants, see also Note 2 above.
- Applicants resident in Singapore are reminded that if the Registry of Patents considers that an application contains rmation the publication of which might be prejudicial to the defence of Singapore or the safety of the public, it may prohibit or strict its publication or communication. Any person resident in Singapore and wishing to apply for patent protection in other countries must first obtain permission from the Singapore Registry of Patents unless they have already applied for a patent for the same invention in Singapore. In the latter case, no application should be made overseas until at least two months after the application has been filed in Singapore.

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Our Ref:

SP4053

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Knowledge Discovery System

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Field of the invention

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The present invention relates to a system, having apparatus and device aspects, for personalising automated knowledge discovery in relation to items stored in a database. In particular the invention relates to methods of training and modifying the system.

Background of the Invention

It is known to personalise the search carried out by a knowledge discovery system in accordance with the characteristics of a user who instructs the search. In each of US 5428778, US 5761662 and US 5890152, a user is permitted to generate a personal profile by selection of one or more predetermined options, such as topics or keywords, and items of a database are scanned in relation to those options.

For example, in US 5428778 a user selects a personal list of keywords from a hierarchically arranged set to generate an interest profile. Each user is alerted to the presence of information items with keywords which match the selected keywords. This system suffers from the disadvantage that if a user's interests are not adequately covered by the predetermined options, then the search cannot be well adapted to the user.

In US 5890152 a user's profile consists of a set of keywords each associated with a weighting factor selected by the user. The weighting factors are used to produce a numerical assessment of the relevance of a data item to a given user, as a function of the occurrence of the keywords of the profile in the data item weighted by the weighting factors. However, there will always be a proportion of users who have difficulty understanding the concept of weighting factors.

US 5717923 describes a system in which each user is associated with a profile, and that profile is updated automatically according to correlations in

the pages the user actually accesses (e.g. correlations in terms used in the headers of those pages). The same profile also permits a limited personalisation of the style in which pages are present to a user, e.g. according to a colour scheme defined by the profile. One disadvantage of this system is that it is not useful until the user has accessed a sufficient number of pages for the correlations to be statistically significant.

Summary of the present invention

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The present invention seeks to provide new and useful apparatuses and methods for automated knowledge discovery.

In a first aspect, the invention proposes that a user's profile is generated using one or more text documents (which may or may not be limited to plain text) and a set of keywords. At least one weighting value may be determined for each of the keywords based on occurrence of the keywords within the text document(s). Preferably, this operation further employs setting at least one numerical parameter, which may be used to process new items from a database.

In a second aspect, the invention proposes that a profile for a single user comprises more than one topic, each topic being suitable for processing data items from a database, and that the user has the option of modifying one topic using data from at least one other topic. This modification process may, for example, result in the creation of a completely new topic which is a combination of two or more pre-existing topics.

Each of the aspects can be expressed as a method, a computer apparatus which facilitates the method, or a computer program product readable by a computer apparatus to cause it to facilitate the method. In any case, the preferred aspects of the method, explained below, are the same.

Definitions

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- A personal profile is here defined as comprising one or more topics, and associated with each topic a set of entities. Each entity is one of: a list of keywords, a list of full text documents, a list of free text documents or a set of software parameters (in principle any of these lists can be shared between two closely related topics, but this is not preferred). The personal profile preferably also comprises, for each topic, a summary portion, which is derived from the entities, and which is the portion of the profile which is employed to process items in a database in accordance with that topic.
- A kernel is a system which employs at least a portion of the personal profile (e.g. a summary portion) to process (e.g. categorise or summarise) items in a database.
 - A topic is a category of knowledge describing a focused information interests or needs of the readers. A given topic is associated with one or more keywords, one or more text documents (free text documents and/or full text documents), and (preferably) one or more software parameters in the user's profile.
 - A keyword is defined as a single English word, a combination of single English words or a phrase.
- A full text document is a single software file or URL. Normally, it contains
 only ASCII characters and words in such a way that it describes a concept
 or a subject of knowledge.
 - A free text document is like the full text document except that it is allowed to contain multimedia objects.
- A software parameter is defined as a numerical value, such as a threshold value. As explained in detail below, a threshold value allows a user to command the behaviour of a kernel during content processing.

- The term "database" is used in this document to include within its scope not only a database in a single physical location or defined by a single data storage device (e.g. server), but a network of (physically separated) data storage devices, such as the world wide web.
- User content personalization system ("UCPS"), also referred to more simply here as user personalisation, refers to setting of the user profile by the respective user.
- Content personalization processing is defined as the generation of personalized publication by the system kernel for each respective reader using the reader's personal profile created during user personalization. That is, content personalization processing involves the results of user personalization in content processing in order to generate a unique and private personalized publication for each and every user of the system.

Brief Description of the drawings

The present invention will now be described, for the sake of example only, with reference to the following figures, in which:

Figure 1 is a schematic view of a system employing profiles generated according to an embodiment of the present invention;

Figures 2a-c illustrate the structure and formation of a personal profile for a user in an embodiment of the invention;

Figures 3a-c illustrate other aspects of the structure of the personal profile of Fig. 2;

Figures 4a&b illustrate use of the profile of Fig. 3;

25 Figures 5a&b illustrate updating the profile of Fig. 3;

Figures 6a&b illustrate stimulation of the updating process of Fig. 5 by a user;

Figures 7a&b show a flow diagram for creating a topic for the profile of Fig. 2;

Figures 8a&b show a flow diagram for updating a topic for the profile of Fig. 2;

Figures 9a&b shows a flow diagram for skewing a topic for the profile of Fig. 2;

Figures 10a&b illustrate the process of Fig. 9;

Figures 11a&b show a flow diagram for merging topics for the profile of Fig. 2;

Figures 12a&b illustrate the process of Fig. 11;

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Figure 13 illustrate the process of removing a topic of the profile of Fig. 2;

Figure 14 illustrate the process of renaming a topic of the profile of Fig. 2;

Figures 15a-c illustrate how keywords in the profile of Fig. 2 may be changed;

Figures 16a-c illustrate how full text documents in the profile of Fig. 2 may be changed;

Figures 17a-c illustrate how free text documents in the profile of Fig. 2 may be changed;

Figures 18a-c illustrate how parameters in the profile of Fig. 2 may be changed;

Figure 19a-c illustrate the formation of clusters and multiple document summaries using the profile of Fig. 2;

Figures 20a&b illustrate how a user employs the multiple document summaries of Fig. 19 to select a single document, viewing successively a summary of the document and then the document itself; and

Figures 21a&b summarise the content personalization of the knowledge discovery device of the embodiment.

Detailed description of embodiments

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Fig. 1 illustrates schematically a system employing profiles generated according to the present invention. Information sources from the world wide web (WWW) 1, databases of papers 2 and other electronic documents 3 are accessed. Data items (e.g. data files) from these sources are obtained in an electronic format, for example from crawler 4, OCR 5 or from any other source. Each data file (herein also referred to as a document) is considered an item in a database from which it was obtained.

Once obtained in an electronic format, all documents will be converted into HTML format for further processing steps by a HTML converter 6. A multilingual translator 7 can be used to convert HTML document contents into a single language form, say English. Multimedia objects like images, pictures, sound, videos and audio are removed by a text/image segmentation module 8. The output of this module 8 are pure ASCII texts. This completes the Content Aggregation Process steps in Fig. 1. As indicated by boxes 10, 11, 12, documents which do not need to be processed in this way (because they are already in a suitable format) can be introduced into the stream at the appropriate points.

The pure ASCII texts will be filtered, analyzed, clustered and summarized by the system kernel 9. Initially, the kernel 9 operates on the basis of a pre-set profile set by the administrator of the system. The pre-set profile defines a number of categories, and ways of recognising whether a given document

falls into each category. For example, it may include a set of keywords for each category, and weightings for each keyword, so that the conformity of each document to each category may be derived as a numerical function which is the sum over the keywords in the category of their incidence in the document weighted by the weighting factor. Thus, using the pre-set profile, the kernel 9 categorizes each document, using a module 13, into the most relevant categories.

By a similar process, categorized documents in each category may be analyzed and clustered into various themes. Documents within each cluster may be summarized as a group by a module 14 to generate multi-document summaries for this cluster.

This completes the content processing steps in this system.

The output of the content processing steps is the final publication 16 delivered to all readers (users) 18. For simplicity, only one reader 18 is shown. While reading the publications, readers 18 are provided with a suite of special tool sets for them to perform content personalization. A set of tools, represented in the grey box 17 is called the user content personalization system. Each user 18 interacts individually with the user content personalization system 17 to define and/or modify one or more topic(s) for that user, as described in detail below. The system 17 stores them in a database 19. The system 13 further includes integration & management software subsystem to generate the personal profiles stored in the database 19 from the user's interaction with the tools.

Once the personal profiles are defined, the system 17 interacts with, and influences or controls, the system kernel 9. Thus, in respect of that user, the kernel operates on the basis of the respective profile (or one of the plural profiles) of the user. In effect, it operates as above, but using the user's profile to replace (or supplement) the pre-set profile discussed above.

Content personalization is defined as a process providing each reader with a set of tool sets that gives him ability to define, to create, to update and to

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remove his personal profile. This is the only feedback loop for each user to inform the user content personalization system 17 about his unique and private information needs and interests. All activities involved in content personalization are described in detail below. Preferably, as described below, the system kernel 9 is itself used by the user content personalization system 17 to provide the personal profile of each reader during content personalization processing.

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In short, in order to produce a personalised publication for himself, each user performs content personalization in order to indicate his interests and needs, and that information is stored in his personal profile in database 19. Content personalization is performed using the tool sets provided by the user content personalization system 17. The interaction between users 18 and the user content personalization system 17 are governed by the integration and management software subsystem within the user content personalization system 17. Once the personal profile has been created for the reader 18, the system kernel will be activated at a pre-determined time interval to retrieve the user's personal profile from the database 19, and to generate his unique and private personalised publication automatically. The activation of the system kernel for content personalization processing is preferably controlled by the same integration and management software subsystem used by the user content personalization system 17.

Referring to Figures 2 to 6, we will describe the invention in conceptual terms. Then, with reference to Figures 7 to 17 we will describe the processes underlying the invention using flow diagrams.

Specifically, referring to Fig. 2, a profile of a certain user (e.g. stored in the database 19) is shown schematically to include three topics, "pewter", "chandeliers" and "carpentry". Fig. 2 shows the structure of the record for the topic "pewter".

The record includes a name 30, a set 32 of keywords. The record further includes one or more full text documents 34 or location references of such documents, and one or more free text documents 36 or location references of

such documents. The record further includes a set of system parameters 40. In this example, this inludes a categorizer threshold, a cluster threshold and a summarizer threshold.

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For the sake of explanation, Fig. 2 illustrates some of the set 32 of keywords in box 35, and titles of some of the documents in box 37. The full text (i.e. ignoring images) of these documents is obtained (as shown in box 42), optionally edited by the user to filter out portions of the documents which he does not regard as relevant. The occurrence of the set 32 of keywords in the text shown in box 42, is used to generate a ranked list of keywords 46, each associated with a weight (shown on the right hand side of box 46). The ranked list 46 and the system parameters 40 constitute a summary portion 44 of the profile for the topic "pewter", which is what the kernel 9 uses to analyse the compatibility of database items with the topic. Since the generation of the summary portion 44 is automatic, the user is not required to understand the concept of weighting.

Fig. 3 illustrates the user personalization process (user content personalisation system, UCPS) for each of the same user's three topics. As explained above, the three topics are associated with a respective set 32, 132, 232 of keywords, a respective set of documents 37,137,237 and a respective set of system parameters 40, 140, 240. The UCPS tools 50 explained below are used to input or modify this information. Then there is a step explained above of using the information to generate the summary portion 44, 144, 244 for each topic.

Figure 4 shows how the kernel 9 uses the profile summaries to sort documents. Each topic is associated with a box 51, 52, 53. A set of new documents (e.g. drawn from sources 1, 2, 3 on Fig. 1), are passed in step 1 to the kernel 9. In step 2 the kernel 9 accesses within database 19 the profile for the user, based on the three topics. The kernel uses the summary portions of the profile, to determine for each topic a relevance index (e.g. a sum over the keywords of the topic of product of the weightings for that keyword in the summary portion for the topic, with the occurrence of the keyword in the

document). Any document for which the relevance index is below the categorizer threshold setting for all three topics is placed in the "unwanted tray" 54 (i.e. effectively deleted from the system, as far as that user is concerned). For other documents, the document is placed in the box 51, 52, 53 associated with the respective topic for which the relevance index is highest (of those topics for which the relevance index is above the categorizer threshold).

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Note that the sorting in Fig. 4 has employed the categorizer 13 of the kernel 9. The other content processing subsystems 14 have not been employed (indeed their use is optional). The functioning of these other systems is described below with reference to figures 19 to 21.

Fig. 5 illustrates schematically the profile update process. The user's profile with respect to the topic "pewter" is updated (by processes explained in detail below) by updating the set of documents 37 and the categoriser threshold (from 0.16 to 0.32). This updating uses the UCPS tool, as explained below. There is then a step 55 of generating a revised version of the summary portion 44 for the profile.

Fig. 6 shows a process in which a user updates his profile, using the new documents sorted by the kernel itself. As explained with reference to Fig. 4, a set of new documents is sorted into the three trays 51, 52, 53 based on the present profile. Documents relevant to none of the user's existing topics are discarded to the unwanted tray 54.

In a step 1, the user 18 selects documents, from the tray for a given topic, to improve the profile for that topic. For example, he may select documents from the tray 51 to add to the set of documents 37 (shown in Fig. 5). The updating illustrated in Fig 6 may then be carried out.

We now turn to a more detailed discussion of the generation and updating of the profiles, using the UCPS tools 50.

Topic Creation

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Each topic can be created and manipulated by a set of topic tools. They are the Create, Update, Skew, Merge, Remove and Rename.

Create: It allows readers to define new topics of interests. A topic name can be a single word or a short phrase. While it is created, training keywords, free text documents and full text documents can be input. Topic is trained after creation. The process is shown in Fig. 7. In step 60 the user indicates that he wants to define a new topic; in step 61 he names it; in step 62 he collects entities for it; in step 63 he manually removes unwanted parts of the documents; in step 64 he finishes preparing the entities by setting the system parameters. In step 65 he calls up the topic creation tool, in step 66 he feeds in the data derived in step 64, in step 67 the UCPS reads it in; in steps 68 to 70 performs the process 55 (see Figure 5) described above in relation to Fig. 2 of generating the summary 44.

Update: Readers are allowed to modify the exact content of the training keywords, full text documents and free text documents. Modification can involve change of spellings, grammatical correction, change of words, phrases, sentences, paragraphs or the whole document content. Update operation is performed within a single topic. The process is illustrated in Fig. 8. Steps 62, 63, 64 of Fig. 2 (which set the topic in the first place) are supplemented with step 71 of selecting a topic to be updated, and step 72 of changing the entities for that topic in the database 19. Steps 65 to 70 of Fig. 7 are then performed again.

Skew: Readers are allowed to re-train the existing topic by subsets of keywords, full text documents, free text documents of other existing topics. Skewing is useful for fine-tuning of an existing topic relative to other existing topics such that documents that were originally strayed across two existing topics will not be dropped into either of the ambiguous ones but on the newly

skewed topic. Skewing is also useful to re-train the existing topics. Skew operation is performed across multiple topics into a single existing topic. The flowchart is shown in Fig. 9. In steps 73, 74 (this pair of steps is performed repeatedly) a trained topic is selected, and within that selected topic, entities are selected. The total set of selected entities is edited in step 75. A topic to be skewed is selected in step 76, and any changes to its entities are made. In step 77 the skew tool is selected, and the entities of the topic to be skewed are combined with the selected entities of the other selected topics in step 78. Steps 67, 68, 69 and 70 constituting the process 55 (in Figure 10) are then repeated. An example is shown schematically in Fig. 10. Here the topic "pewter" described in detail above, and having entities 32, 37, 40 (shown in Fig. 5) is skewed using documents 137 from the chandeliers topic and documents 237 and keywords 232 from the carpentry topic. The skew tool 80, and the training 55 (representing steps 67, 68, 69, 70) are then applied to generate a skewed topic, having a revised summary 44.

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Readers are allowed to create new topic by combining two or Merge: more existing topics. Readers can use part of or full contents of the selected existing topics for merging. Merged topics will eliminate noisy words/sentences within the existing topics and automatically generate a unique topic, which will be distinct from the existing topics. It has the similar effects of skewing except that it creates a new topic, instead of operating on an existing topic in skewing operation. This operation is shown in Fig. 11. In step 81 a new existing topic is defined, and a new name is selected in step 82. In step 83 a second existing topic is selected, and the entities for that keyword are tailored in step 84. Steps 83 and 84 may be repeated if it is desired to merge one or more further topics. In step 85 the entities for all selected topics are combined, in step 86 a combine tool is called, in step the set of entities generated in step 87 is fed to the combine tool, and then the process 55 is carried out as in Fig. 7 (steps 67, 68, 69, 70). A schematic example of this is given in Fig. 12, the carpentry and chandeliers topics are merged, by combining selected entities from each with new system

parameters 340 (step 85). The merge tool 50 is applied, followed by training 55, to produce a new profile "home-lamp" having a summary portion 344.

Remove: Readers are allowed to remove redundant or disinterested topics from their personal profile. The training keywords, full text documents and free text documents are removed. The flow diagram is shown in Fig. 13. It includes step 91 of selecting an existing topic, step 92 of calling the topic remove tool, step 93 of supplying the name of the selected topic to the remove tool, step 94 of the remove tool accepting the name, and step 95 of the remove tool removing the topic.

10 Rename: Readers can always rename their own topics. Topics of duplicated names are not allowed. Rename will not change the topic training content. Rename will retain all existing training keyword, full text documents and free text documents. The flow diagram is shown in Fig. 14. It includes steps 96 of selecting a topic, step 97 of selecting a new name (both these steps may be performed by the user merely conceptually), step 98 of calling the remove tool, step 99 of supplying the name of the selected topic to the tool, step 100 of the remove tool accepting the name and step 101 of the remove tool replacing the old topic name by the new one.

Differences between Update, Skew and Merge tools

Update	Skew	Merge	
Act on a single existing	Act on a single existing	Create a new topic.	
topic.	topic.		
Mainly using keywords,	Mainly using keywords,	Mainly using keywords,	
full text and free text	full text and free text	full text and free text	
documents from	documents from existing	documents from existing	
external environment.	topics within the internal	topics within the internal	
	environment.	environment.	

Minor activity	Major activity	Major activity		
When used, it focuses	When used, it focuses	When used, it focuses		
on improving individual	on re-training an	on creating new topics		
topic. Ignore other	existing topic either	through two or more		
relevant existing topics	towards a new/modified	existing topics.		
within the system, even	concept or away from			
if they are quite similar.	other relevant topics.			
The Graphical User	The Graphical User	The Graphical User		
Interface will not be	Interface will be showed	Interface will be showed		
showed with information	with information about	with only information		
about other existing	other existing topics,	about other existing		
topics, but new and	together with the	topics.		
existing entries for	existing entries for			
keywords, full text and	keywords, full text and			
free text documents.	free text documents.			
	·			
No selection of existing	Not allowed to select	Must select part or		
topics.	whole part of any	whole part of any		
	existing topics.	existing topics.		

We now turn to manipulations of the entities themselves. These methods are used for example in step 72 of Fig. 8.

5. 2. Keyword Manipulation

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Each keyword can be manipulated by a set of keyword tools. They are the Input, Update and Remove, and are illustrated with reference to Fig. 15

 Input: Readers are allowed to input a list of keywords, in the form of single English word, combination of single English words or a phrase, such that they represent the most wanted entities in the personalized documents. In step 102 a user selects a topic, in step 103 the user calls the keyword input tool, in step 104 the UCPS displays the existing keywords for the selected topic, in step 105 the user adds extra keywords, in step 1060 the UCPS accepts the modified list, and in steps 1070 and 1080 the method performs respective steps of re-evaluating rank values for the keywords and producing a new ranked list of keywords. These last steps are effectively the training process 55 explained above.

- Update: Readers are allowed to modify the existing list of keywords in the form of single English word, combination of single English words or a phrase. Modification can be changes in spellings, grammatical correction in phrases etc. In this case, following step 102, the user calls the update keywords tool (step 107), the UCPS displays the existing keywords for that tool (step 108), the user modifies these keywords (step 109) and then steps 1060, 1070, 1080 are carried out as explained above.
- Remove: Readers are allowed to remove the existing list of keywords.
 After step 102, the user calls the remove keywords tool (step 110), the UCPS displays the existing keywords for the selected topic, (step 111), the user removes some of the keywords (step 112) and then steps 1060, 1070, 1080 are performed as explained above.

20 3. Full Text Document Manipulation

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Each full text document can be manipulated by a set of full text document tools. They are the Input, Update and Remove, and are explained below with reference to Fig. 16.

Input: Readers are allowed to input any length of sentences and
paragraphs, per full text document, constituting sufficient knowledge to
represent readers' intended interests and needs for a particular topic.
Readers can input as many as full text documents as possible. Readers
can input URL pointing to full text documents. The documents will be
downloaded and stored into the system. The steps are 202, 203, 204, 205,

2060, 2070, and 2080 corresponding respectively to steps 102,103,104,105,1060,1070 and 1080 in Fig. 15.

- Update: Readers are allowed to modify the existing sentences and paragraphs of documents to reflect more current interests or perform correction in the original input. Modification can be done by document to include changes in word spellings, grammatical correction in sentences and paragraphs or replacing the whole document content etc. Readers can also edit the URL. Full text documents pointed by the new URL will be downloaded and stored into the system. The old documents pointed by the old URL will be removed from the system permanently. The steps are 202,207, 208, 209, 2060, 2070, 2080 corresponding respectively to steps 102, 107, 108, 109, 1060, 1070, 1080 in Fig. 15.
- Remove: Readers are allowed to remove the whole documents and URL.
 The documents downloaded because of these URL will also be removed
 permanently. The steps are 202, 210, 211, 212, 2060, 2070, 2080
 corresponding respectively to steps 102, 110, 111, 112, 1060, 1070, 1080
 in Fig. 15

4. Free Text Document Manipulation

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As illustrated in Fig. 17, each free text document can be manipulated by a set of free text document tools. They are the Input, Update and Remove.

- Input: Readers can input URL pointing to free text documents. The free text documents will be downloaded, abstract their ASCII text portions, and stored the ASCII texts into the system. Readers are allowed to view the downloaded documents. The steps are 302, 303, 304, 305, 3060, 3070, 3080 corresponding respectively to steps 102, 103, 104, 105, 1060, 1070, 1080 of Fig.15.
- Update: Readers are allowed to modify the existing sentences and paragraphs of the downloaded documents to reflect current interests better or to remove noises in the downloaded documents. Modification can be changes in word spellings, grammatical correction in sentences and

paragraphs etc. The steps are 302, 307, 308, 309, 3060, 3070, 3080 corresponding respectively to steps 102, 107, 108, 109, 1060, 1070, 1080 of Fig. 15.

Readers can also edit the URL. Free text documents pointed by the new URL will be downloaded, abstracted and stored into the system. The old documents pointed by the old URL will be removed from the system permanently.

- Remove: Readers are allowed to remove the URL. The documents
 downloaded because of these URL will also be removed permanently. The
 steps are 302, 310, 311, 312, 3060, 3070, 3080, corresponding
 respectively to steps 102, 110, 111, 112, 1060, 1070, 1080 in Fig. 15.
 - 5 System Parameter Definition & Selection

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Each system parameter can be manipulated by a set of system parameter tools. They are Set, Reset, Recall and Default illustrated in Fig. 18.

- Set: Readers can set threshold values in steps 401 of selecting the set tool, 402 of the UCPS displaying the existing thresholds, step 403 of the user supplying new thresholds and step 4040 of the UCPS accepting the modified thresholds.
- Reset: Readers can restore the preset values. Preset values are the latest values used by system kernel during content personalization. Reset operation can be done at individual parameter or group of parameters. The steps are 411 of calls the parameter reset tool, step 412 of displaying existing parameters, 413 of deciding which parameters to reset, followed by step 4040 as explained above.
 - Recall: Readers can request system to present the last preset values for reuse. Recalled values are used by system for content personalization in the past. Reset operation can be done at individual parameter or group of parameters. The steps are 421 of calling the parameter recall tool, 422

of the system displaying existing values, 423 of the user deciding which to recall, followed by step 4040 as explained above.

 Default: Readers can restore all system parameters to publisher's preset values. Default operation can only be done at group level. The steps are 431 of calling the parameters default tool, 433 of deciding which parameters to return to default values, followed by step 404 as described above.

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We now turn to an explanation of the other content processing subsystems 14 shown in Fig. 1, the use of which is optional. This explanation is in relation to Figures 19 to 20. The content processing subsystems 14 include a clustering tool and a summarisation tool.

As shown in Fig. 19, the kernel 9, separates the documents into four categories based on the profile summary and the categoriser threshold. This scheme may be extended, as shown in Fig. 19 so that documents which have already been classified into one of the categories are subject to a further level of categorisation into clusters, each category being associated with one or more clusters. Thus, the category "pewter tray " in Fig. 4 may be associated with two clusters "buy and sell" and "design and handcraft". Each cluster which may also be referred to as a theme, a knowledge concept.

The clusterer threshold setting of the profile mentioned above determines the required level of similarity between a given document and a set of information associated with the cluster (for example, a list of keywords associated with the cluster; the information associated with a given cluster may optionally be a subset of the information in the profile for that category) such that the document is transmitted to a tray 511 or 512 associated with that cluster. Documents for which the similarity is not as great as the cluster threshold setting are sent to a tray 510 and labelled "unclustered". Thus, the clusterer

threshold setting of the system parameters 44 of Fig. 2 is used to control the size (maximum number of documents) of the clusters.

Further information on methods suitable to perform clustering in embodiments according to the present invention, is available at the web site http://www-4.ibm.com/software/data/iminer/fortext/cluster/cluster.html, for example.

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Furthermore, each document which is allocated to a given cluster, before it is presented to a user, be subject to a group summarisation performed by a summarization tool based on the summariser threshold setting. Techniques for summarisation which are suitable for use in the present invention are disclosed for example at

http://www.ibm.com/software/data/iminer/fortext/summarize/summarize.html.

Thus, as shown in Fig. 19, one or more sets of documents of a given cluster (i.e. sets of documents of that cluster having a certain mutual similarity) are used to produce a brief group summary. For example, the three documents in set 5111 in Fig. 19 (each associated with cluster 511 and having a mutual similarity above a certain level) are used to produce a multidocument summary "Pewter is on high demand".

If, a user decides that the document 51113 (with title "Online auction for Golden Millennium Dragon Plaque") is of interest, he can indicate his interest (as indicated in step 1). In this case, as indicated in Fig. 20, the user is shown a summary 51113a of the document (generated by the summarisation tool). If, based on summary 51113a, the user decides that the document is of sufficient interest, he can ask for the entire document 51113 to be displayed, as shown in Fig. 20 in the box 51113b

25 Clustering and summarization are not the only possible content processing subsystems 14. Other possible text mining technologies are presently disclosed at http://www-4.ibm.com/software/data/iminer/fortext/index.html, for example.

Fig. 21 summarises the content personalization of the knowledge discovery device of the embodiment. After the content aggregation stage shown in Figs. 1 and 21, documents from a document source 600 are divided into categories 601, 602, 603. Documents of each category are further classified into clusters 604, 605, 606, 607, 608. Sets of one or more documents within a single cluster are used to produce multiple document summaries 609, 610, 611 of each respective set. The summarisation tool further produces (e.g. on demand) summaries 612, 613, 614, 615,616 of one or more respective documents in any set.

CLAIMS

- A computer-implemented method of generating a user personalised
 filter for processing files, the method comprising the steps of:
 - (a) establishing communication with a server;
 - (b) employing at least one software tool operated by the server to generate a personal profile, the profile comprising one or more topics, and associated with the or each topic, at least one keyword and at least one text document;
 - (c) employing processing software operated by the server to generate, for the or each topic, a filter from the associated keywords and text documents.
- 15 2. A method according to Claim 1 wherein said text documents comprise at least one first text document consisting only of text and at least one second text document comprising both text and at least one multimedia file, said step of generating the filter operating on at least the text portion of the second text document.

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- 3. A method according to Claim 2 in which said multimedia file is one of (i) an image file, (ii) a video file or (iii) a sound file.
- 4. A method according to Claim 1, Claim 2 or Claim 3 in which, in said step of employing said software tool, the user inputs at least one said text document.

- 5. A method according to any preceding claim in which, in said step of employing said software tool, the user inputs a location of at least one said text document, and an application program operated by the server downloads the at least one text document from the location, such as through an open communication protocol interface.
- 6. A method according to any preceding claim in which the or each topic describes a focused information interest or need of the user

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- 7. A method according to any preceding claim in which each of the keywords is one of (i) a single natural language word, (ii) a combination of single natural language words or (iii) a phrase.
- 15 8. A method according to any preceding claim wherein the tools include tools to perform at least one of the operations of (i) creating, (ii) updating, (iii) combining, (iv) removing and (v) renaming the topics.
- A method according to any preceding claim wherein said tools include
 tools to perform at least one of the operations of (i) inputting, (ii) updating and
 (iii) removing keywords.
 - 10. A method according to any preceding claim wherein said tools include tools to perform at least one of the operations of (i) inputting, (ii) updating and (iii) removing text documents.

- 11. A method according to any preceding claim in which each filter further comprises for each topic at least one numerical parameter, said parameter being for controlling the processing of documents based on said filter.
- 12. A method according to Claim 11 wherein the tools include tools to perform at least one of the operations of (i) setting and (ii) resetting said parameters, or returning said parameters to (iii) previous values or (iv) default values.
- 10 13. A computer-implemented method of generating a user personalised filter for processing files, the method comprising the steps of:
 - (a) establishing communication with a server;
- (b) employing at least one software tool operated by the server to generate a personal profile by inputting data, said profile comprising input
 data associated with at least two topics;
 - (c) employing processing software operated by the server to generate, for each topic, a filter from the respective input data;
- (d) employing combination software operated by the server to combine the input data from at least two of the topics, and the processing
 software to generate a new filter based on the combined input data.
 - 14. A method according to Claim 13 wherein the new filter replaces an existing filter.
- 25 15. A method according to Claim 13 wherein the new filter supplements the existing filters.

16. A method according to any preceding claim wherein said step of establishing communication with a server is performed by a user employing a HTTP browser operated by a first computer system, the server comprising an HTTP server application program operated by a second computer system.

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17. A method of processing a plurality of files in a database, the method including:

generating at least one filter according to any preceding claim;

for each filter, determining a relevance of each file to the topic
associated with each filter by comparing the file to the filter, and process the
files on the basis of the processing parameter.

18. A method according to Claim 11 and Claim 17 in which:

said parameters include at least one processing parameter;

said step of comparing the file to the filter includes deriving a numerical relevance index of the file to the respective topic, and

for a file for which the relevance parameter is lower than said processing parameter, the file is assessed to be unrelated to the respective topic.

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19. A method according to Claim 18, in which the files for which the relevance parameter is above the processing parameter are transmitted to the user.

- 20. A method according to Claim 18 wherein the said user can instruct the server to cache any files for which the relevance parameter is above the processing parameter until it is needed by the said user.
- 5 21. A method according to any of Claims 1 to 20 which is performed at predetermined time intervals.
 - 22. A computer apparatus arranged for communication with at least one user, the apparatus comprising:
- one software tool controllable by the user to generate a personal profile, the profile comprising one or more topics, and associated with the or each topic, at least one keyword and at least one text document; and

processing software to generate, for the or each topic, a filter from the associated keywords and text documents.

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23. A computer apparatus arranged for communication with at least one user, the apparatus comprising:

at least one software tool controllable by the user to generate a personal profile by inputting data, said profile comprising input data associated with at least two topics;

processing software controllable by the user to generate, for each topic, a filter from the respective input data;

combination software controllable by the user to combine the input data from at least two of the topics; and

processing software to generate a new filter based on the combined input data.

24. A computer program product, such as a recording medium, readable by a computer apparatus and which causes the computing apparatus to operate as a computing apparatus according to Claim 22 or Claim 23.

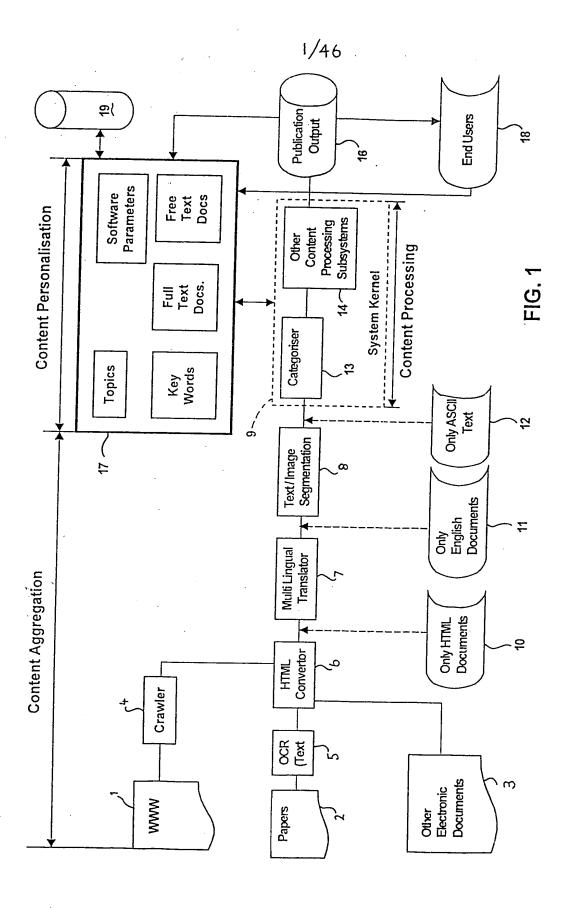
ABSTRACT

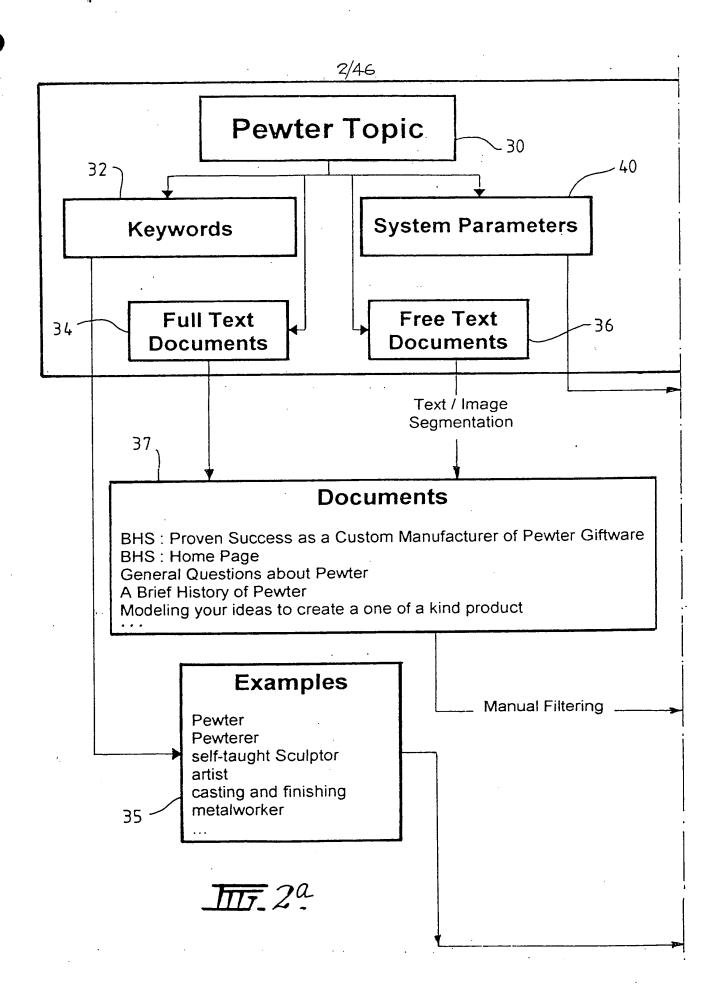
Knowledge Discovery System

A computer-implemented method of generating a user personalised filter for processing files is disclosed, the method comprising the steps of:

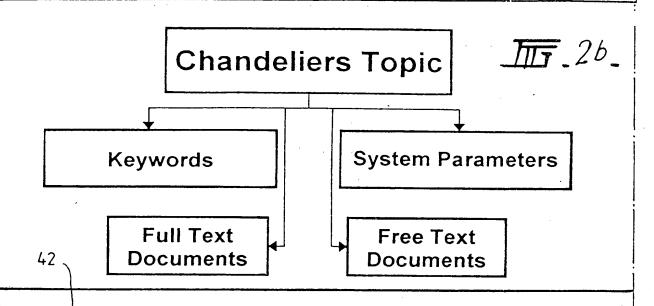
- (a) establishing communication with a server;
- (b) employing at least one software tool operated by the server to generate a personal profile, the profile comprising one or more topics, and associated with the or each topic, at least one keyword and at least one text document; and
- 10 (c) employing processing software operated by the server to generate, for the or each topic, a filter from the associated keywords and text documents.

Fig. 1





An Example Of A Personalised Profile Of A User



Userful Texts Abstracted & Combined

BHS Industries manufactures pewter items.

From just an idea to **artwork**, we see a project through modelmaking, mouldmaking, production, and any finishing of the jobs as required. From final assembly, to boxing, and shipping, BHS is your pewter experts.

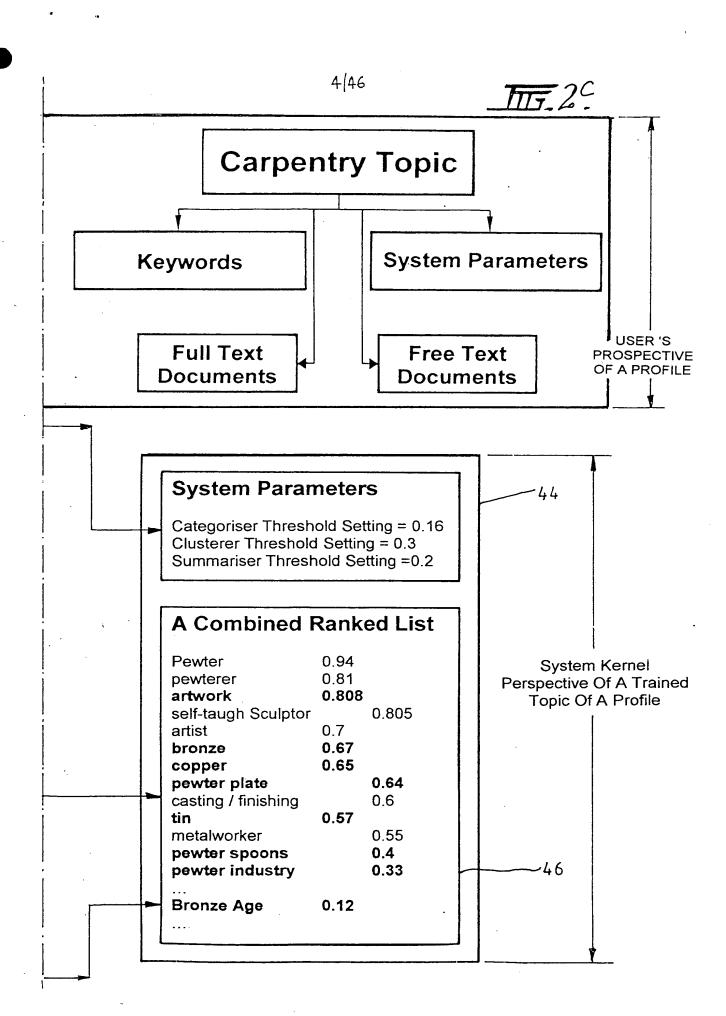
Artwork and create the model, or physical piece, to be reproduced in pewter in a cost-effective way. The use of rubber moulds enable us to produce items with relatively low tooling costs, making low volume orders affordable to our customers. From figurines to special edition pewter plates, from picture frames and clocks to custom desk set pieces.

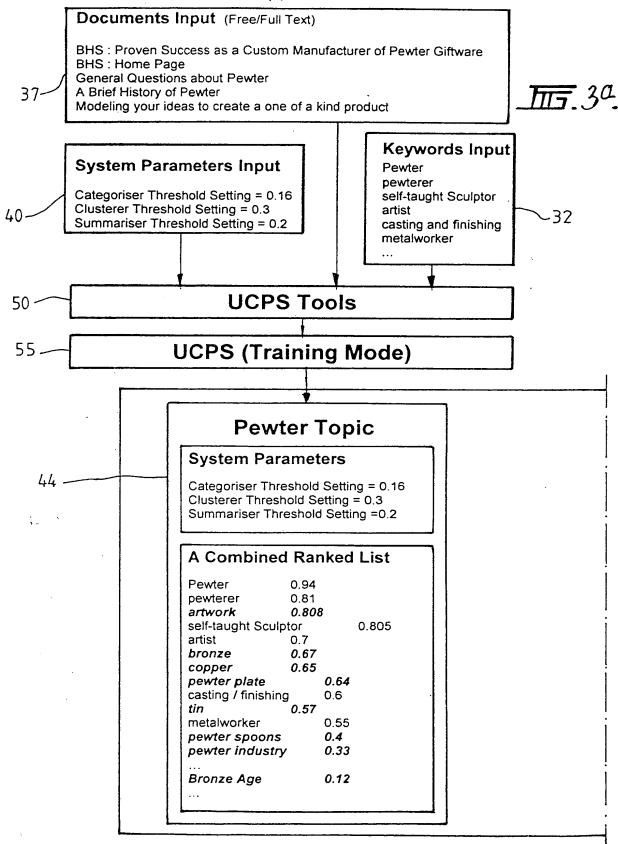
Pewter was probably first made in the Bronze Age (between 2000 and 500BC) Pewter and bronze are related alloys; pewter is mostly tin, with a small amount of copper(and other ingredients), while bronze is an alloy made up primarily of copper, with a small amount of tin. It seems likely that pewter was invented when the qualities of metal in the alloy were reversed—though whether this was by accident or design is impossible to tell!

Examples of Roman pewter – mostly spoons and other small utensils--- still exist in museums. Most items made of pewter in this era were utilitarian. Being a soft metal, pewter spoons etc. would eventually wear out and would then likely be melted down to make something new. So, very old examples of pewter are not nearly as common as are pieces made of harder metals like bronze. By the middle ages the use of pewter was widespread. It was mainly used for functional items like plates and cutlery—but pewterers also made small decorations and toys, referred to as "trifle".

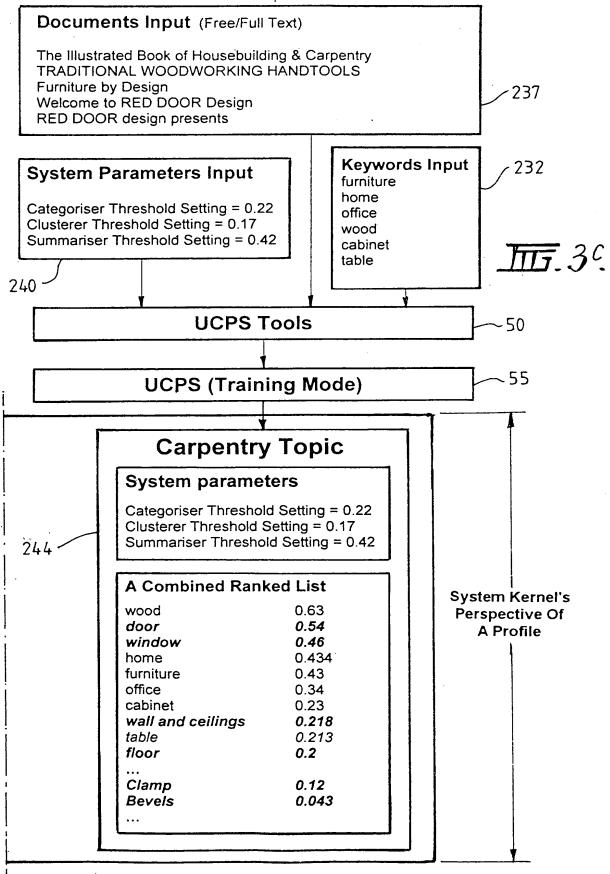
The growth of the **pewter industry** in Europe at this time led to the establishment of guilds, which regulated the quality of work produced by pewteres. "The Worshipful Company of **Pewterers**" was established in England in 1348 for this purpose.

Towards the end of the 18th, century....

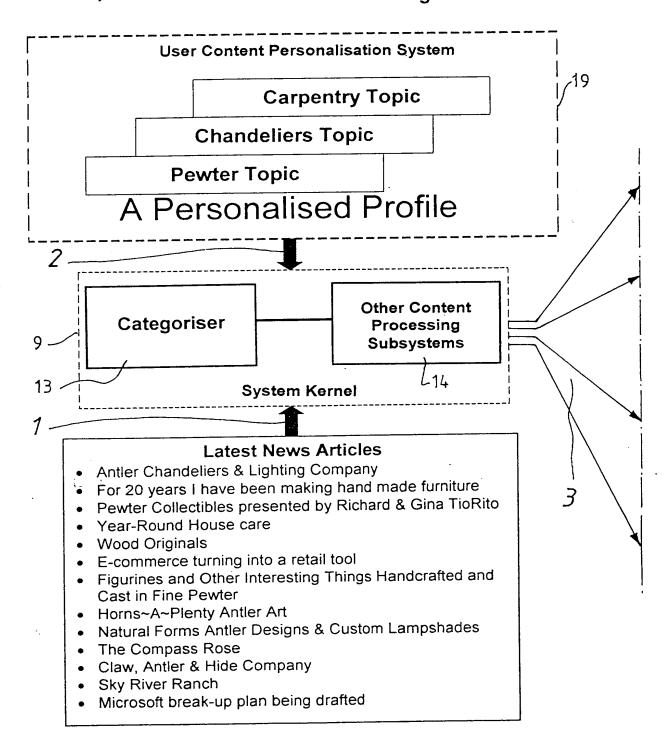




A Personalised Profile



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An Example of A Personalised Profile : Categoriser Document Sorting



Pewter Tray

- Pewter Collectibles presented by Richard & Gina TioRito
- The Compass Rose
- Figurines and Other Interesting Things Handcrafted and Cast in Fine Pewter

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Chandeliers Tray

- Antler Chandeliers & Lighting Company
- Sky River Ranch
- Natural Forms Antler Designs & Custom Lampshades
- Claw, Antler & Hide Company
- Horns~A~Plenty Antler Art

L52

Carpentry Tray

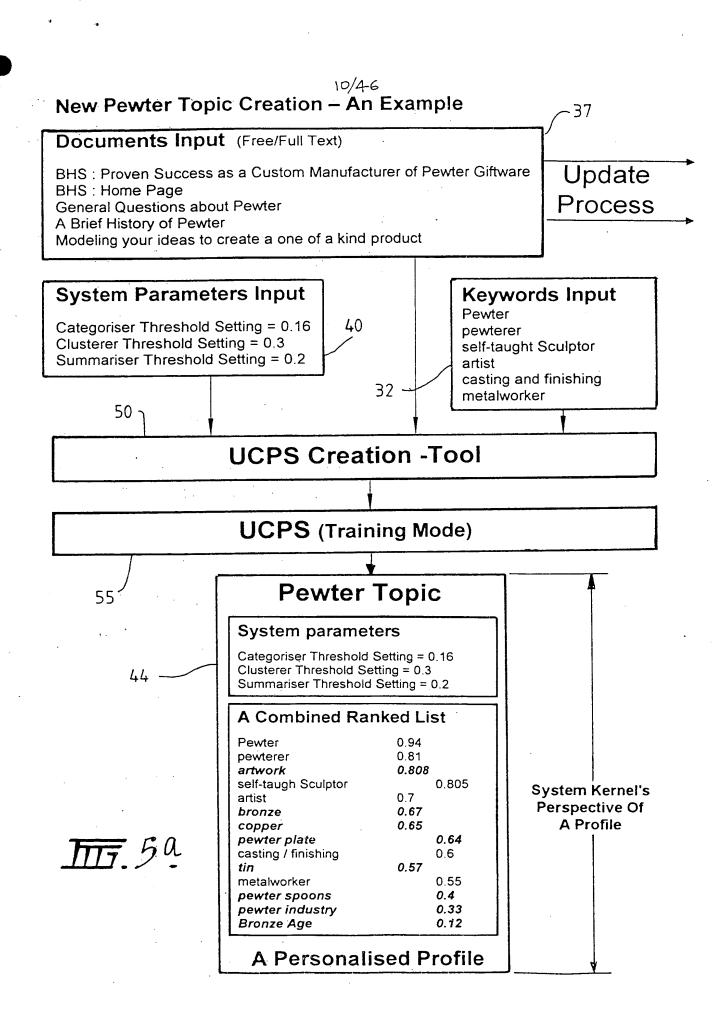
- Year-Round House Care
- For 20 years I have been making hand made furniture
- Wood Originals

L₅₃

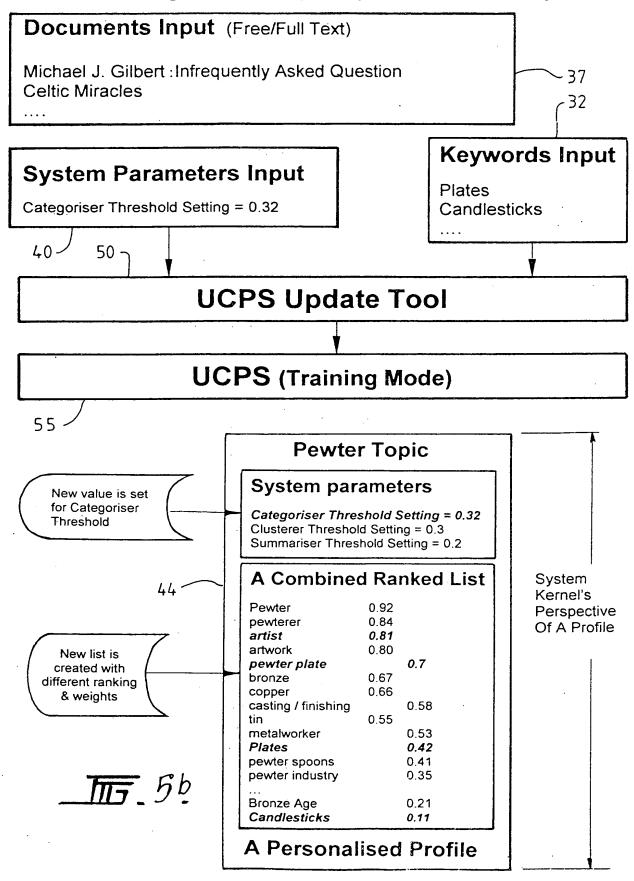
Unwanted Tray

- Microsoft break-up plan being drafted
- E-commerce turning into a retail tool

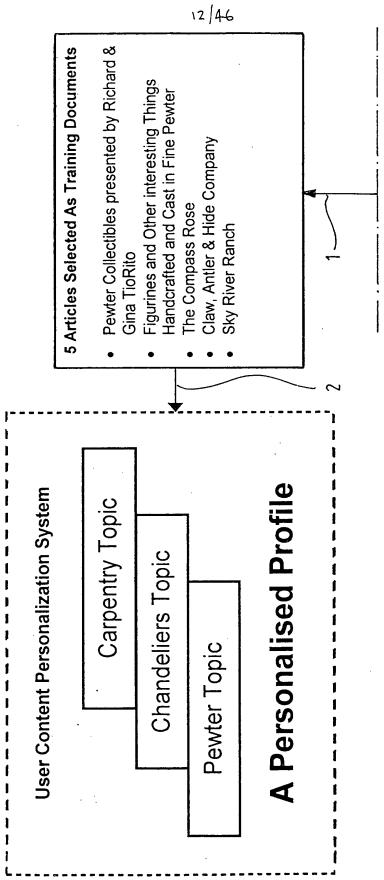
- 54

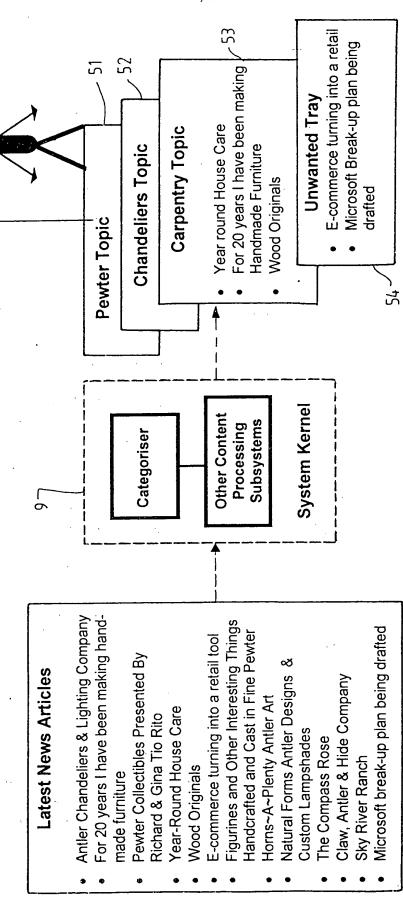


Existing Pewter Topic Update – An Example



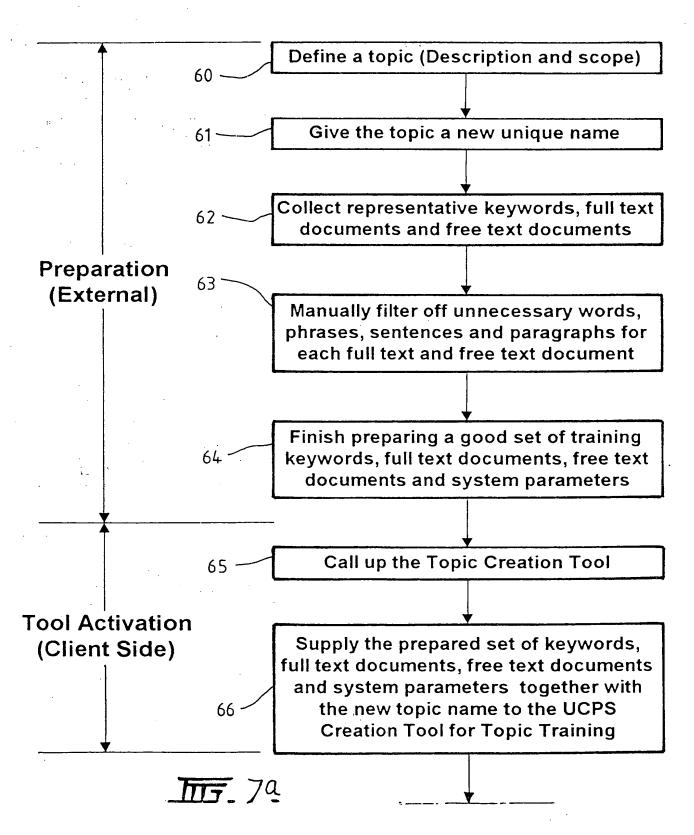
An Example Of A User Reading Articles & Update His Personal Profile

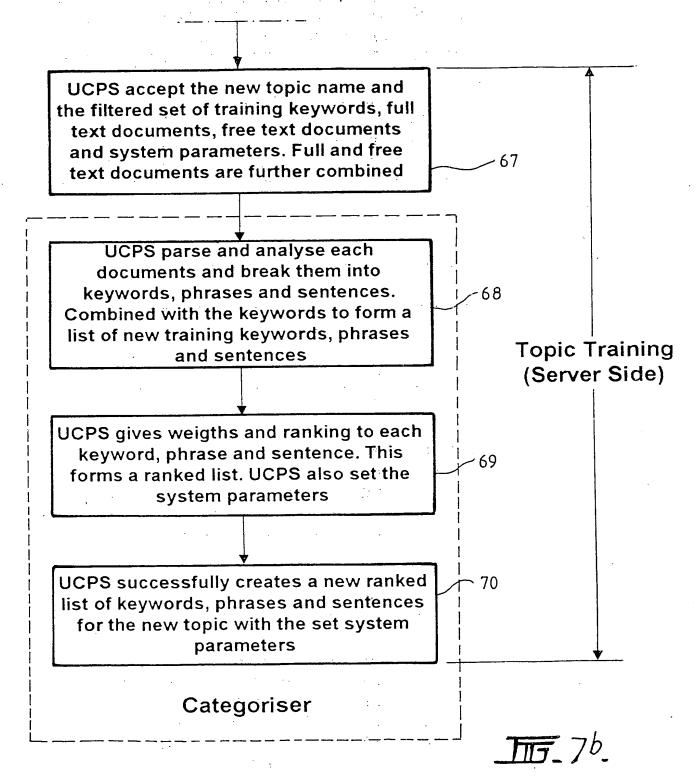




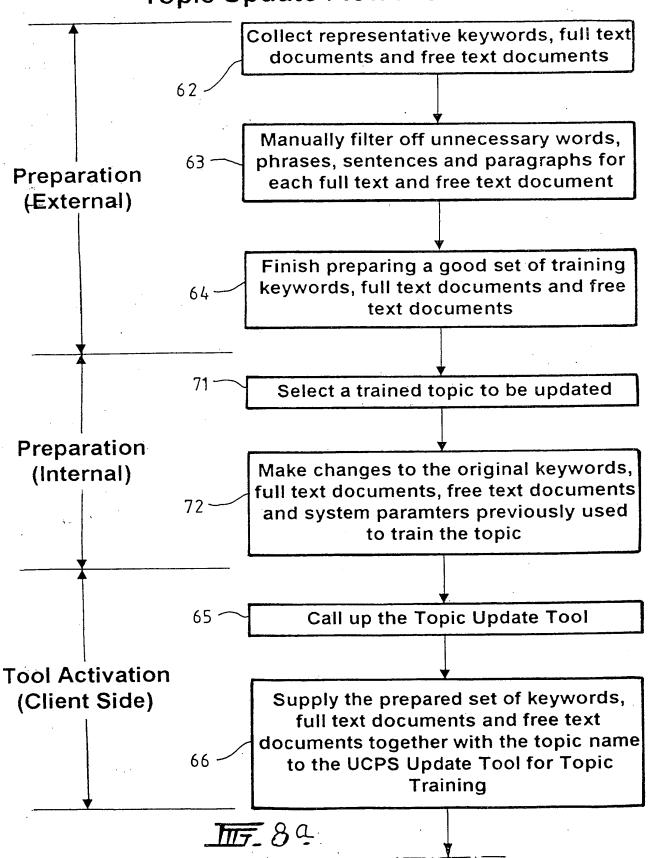
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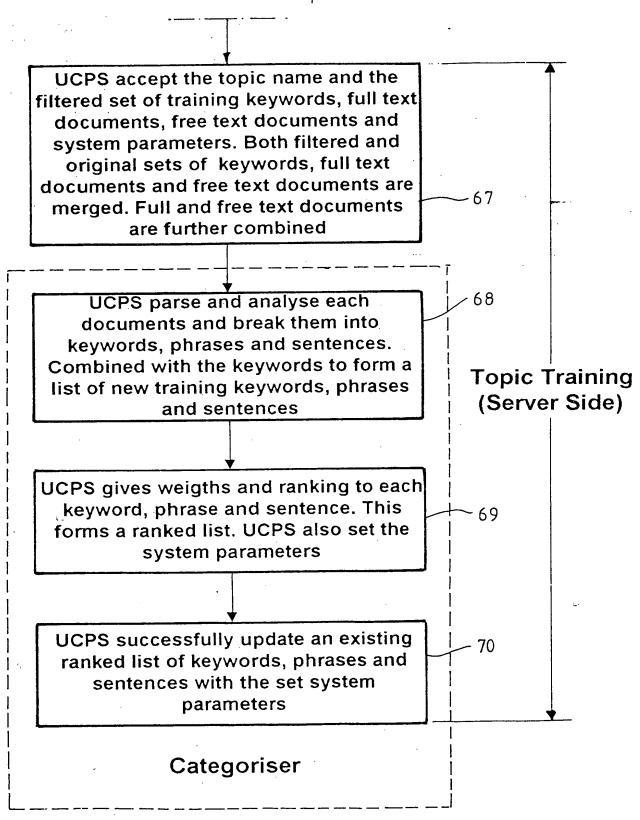
Topic Creation FlowChart





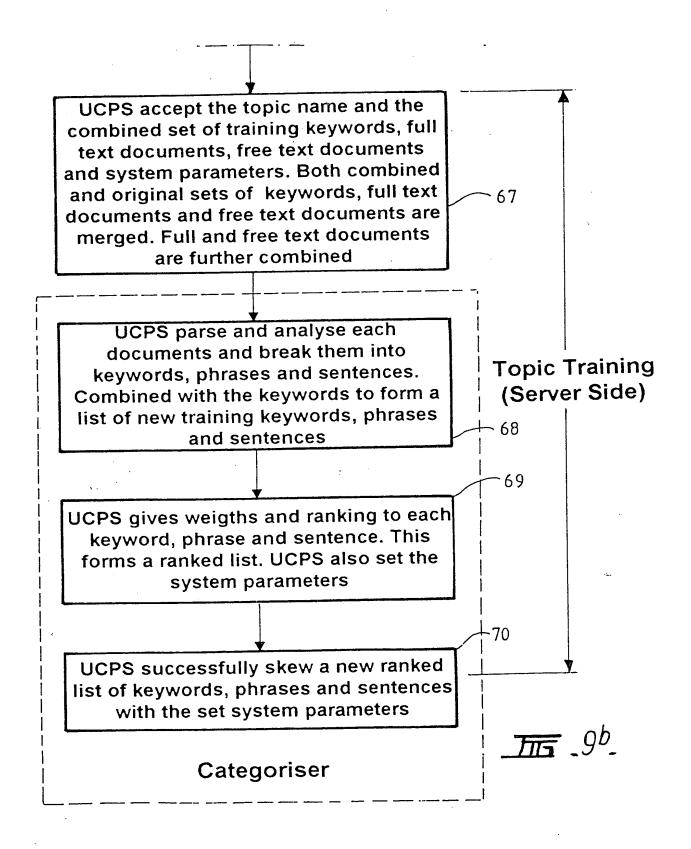
Topic Update FlowChart



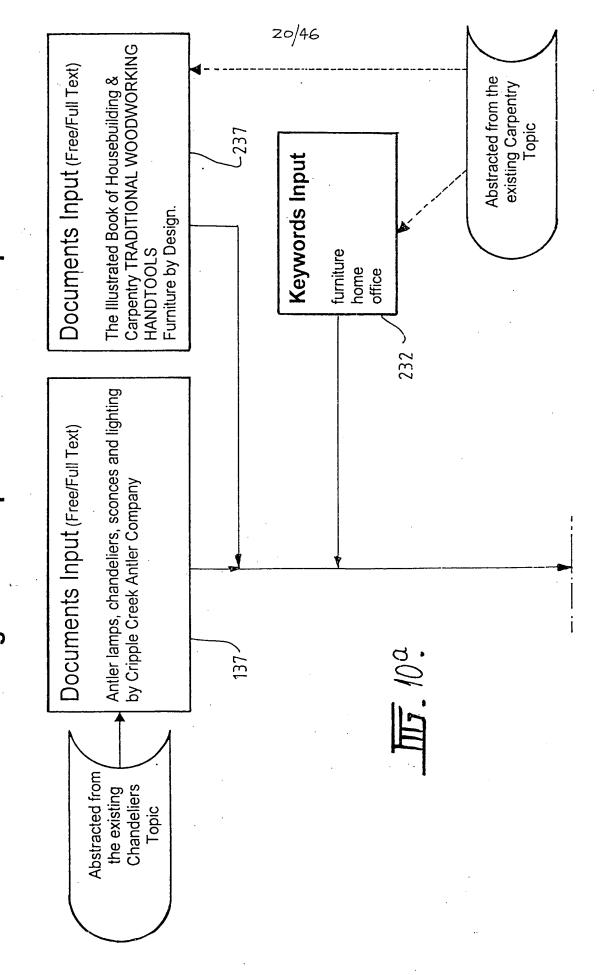


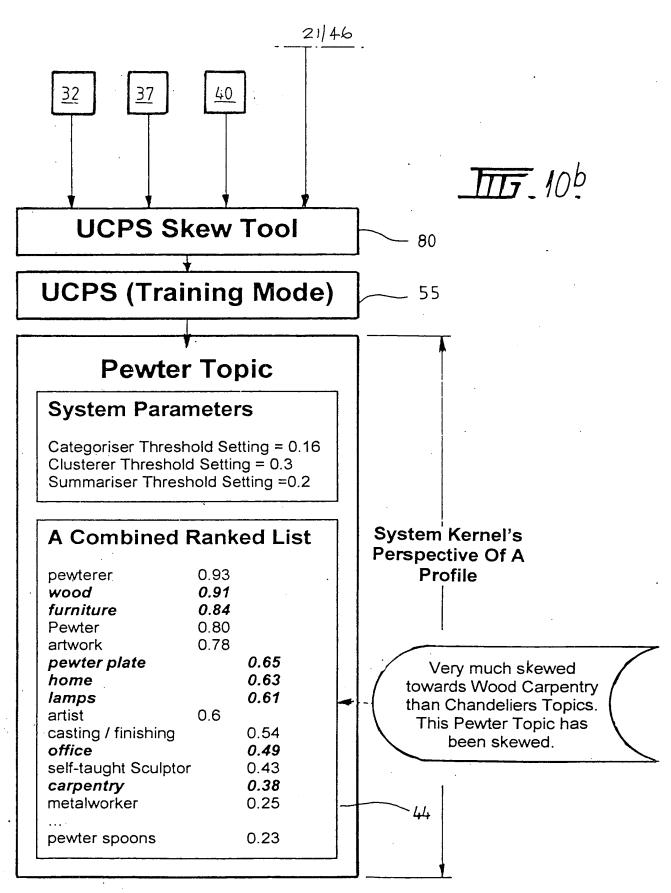
顶. 8b.

Topic Skew FlowChart Select a trained topic within UCPS For each topic, select keywords, full text documents or free text documents for skewing 74 Preparation Finish preparing a combined set of training keywords, full text documents (Internal) and free text documents abstracted from one or more topics within UCPS 75 Select a trained topic to be skewed. Make changes to the original set of 76 keywords, full text documents, free text documents and system parameters used to train this topic Call up the Topic Skew Tool 77 **Tool Activation** Supply the prepared set of keywords, (Client Side) full text documents and free text documents together with the topic name 78 to the UCPS Skew Tool for Topic Training



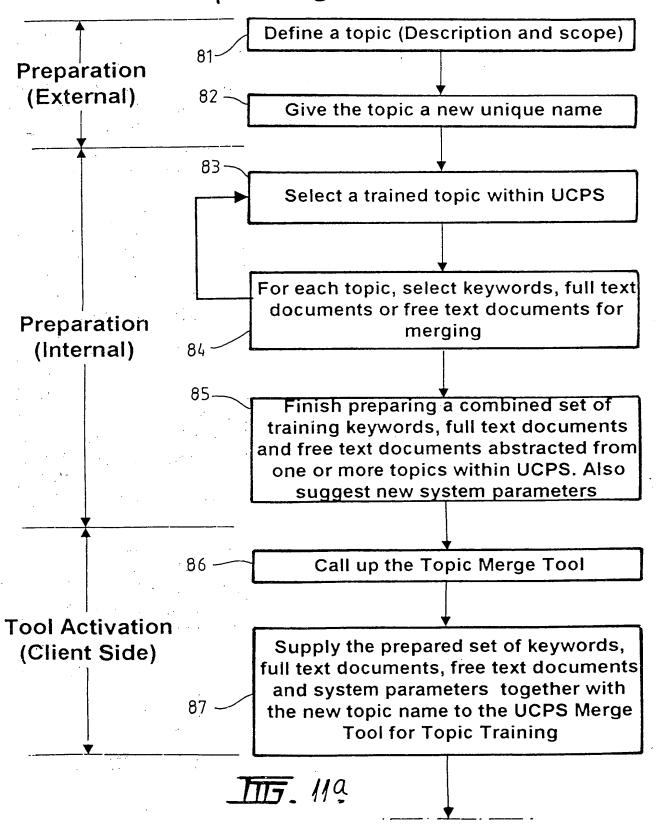
Existing Pewter Topic Skew - An Example

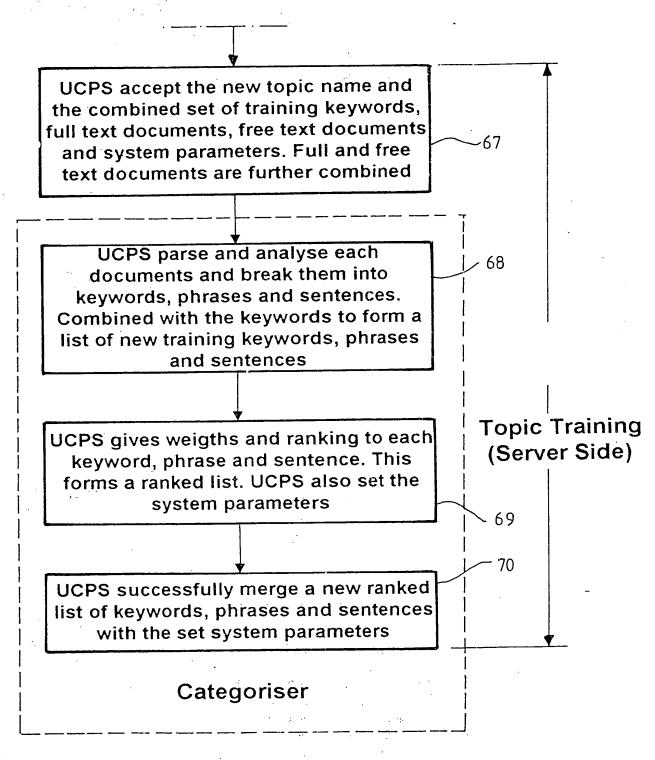




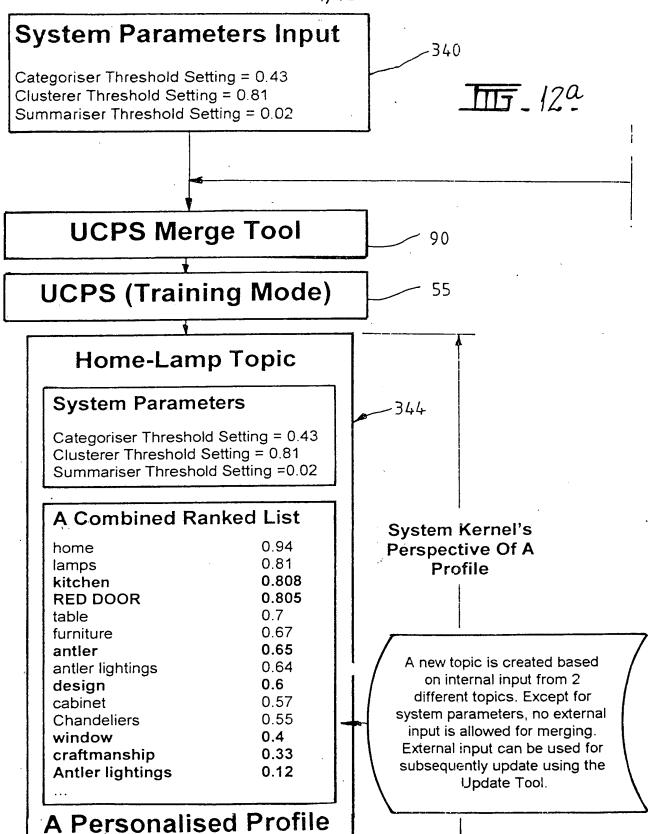
A Personalised Profile

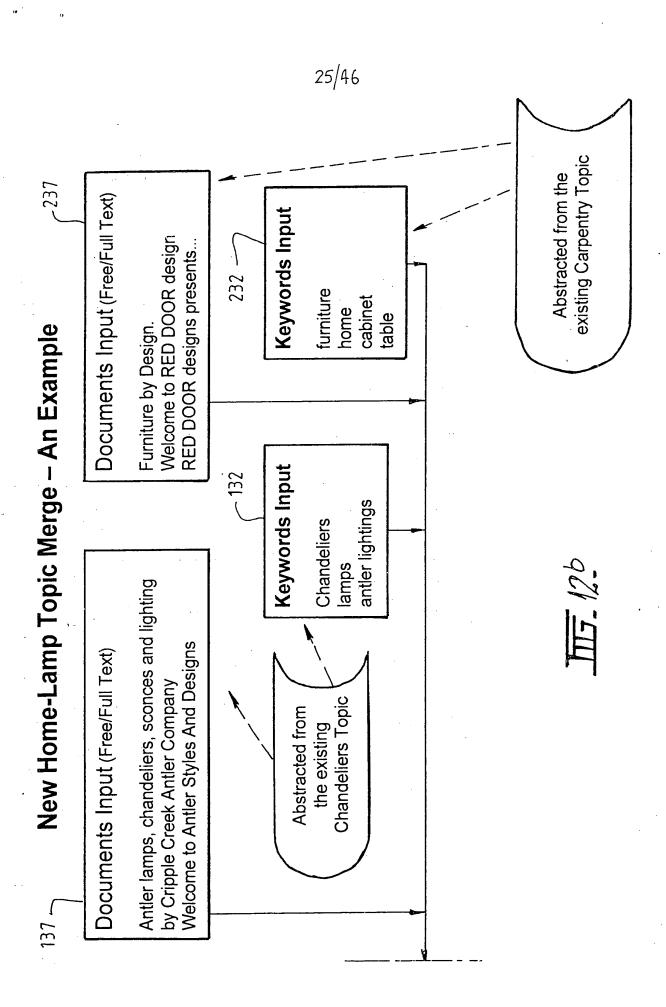
Topic Merge FlowChart



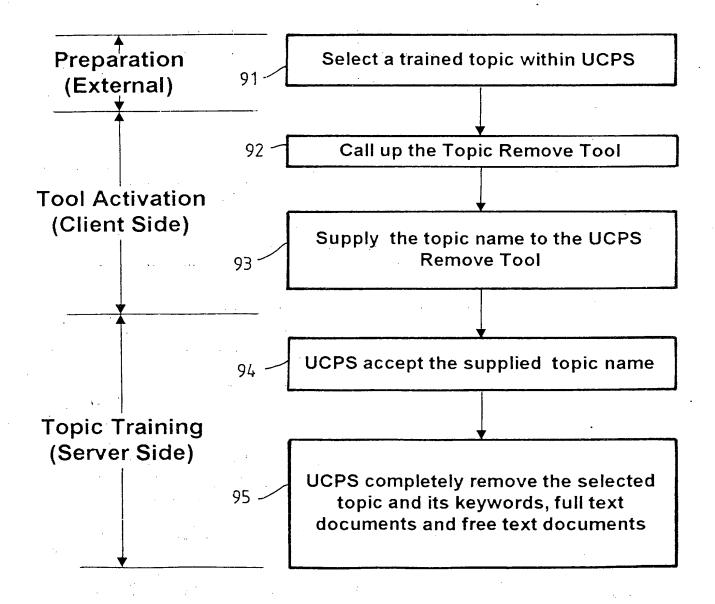


115 - 11b



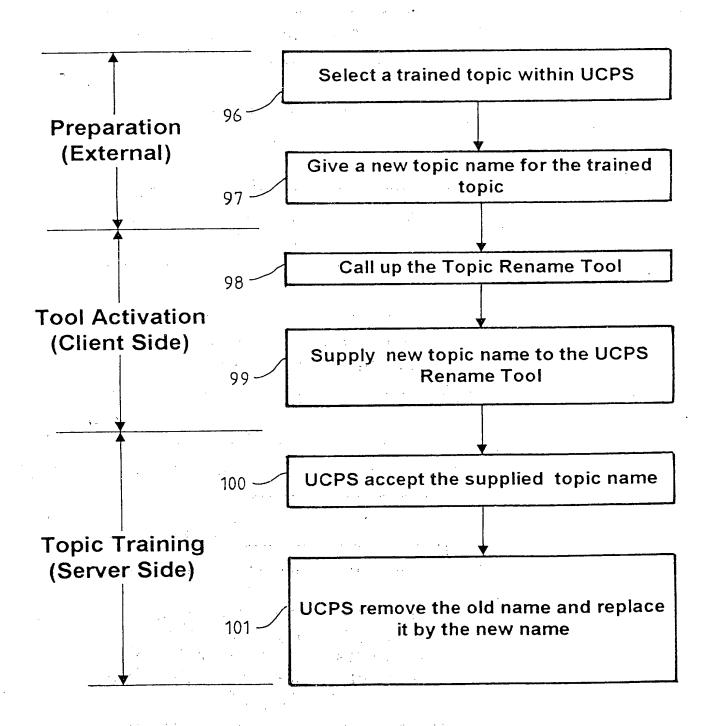


Topic Remove FlowChart



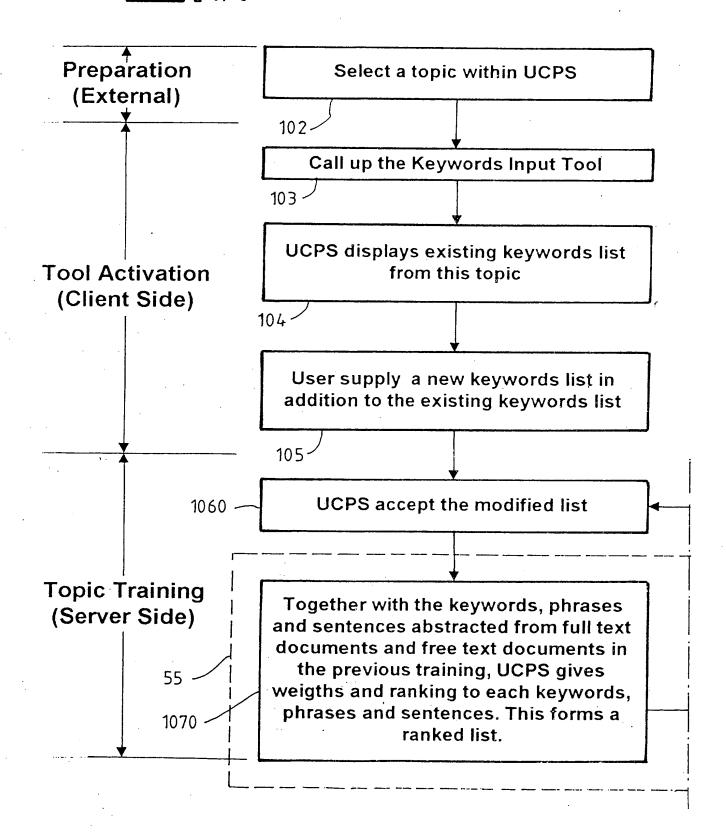
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Topic Rename FlowChart

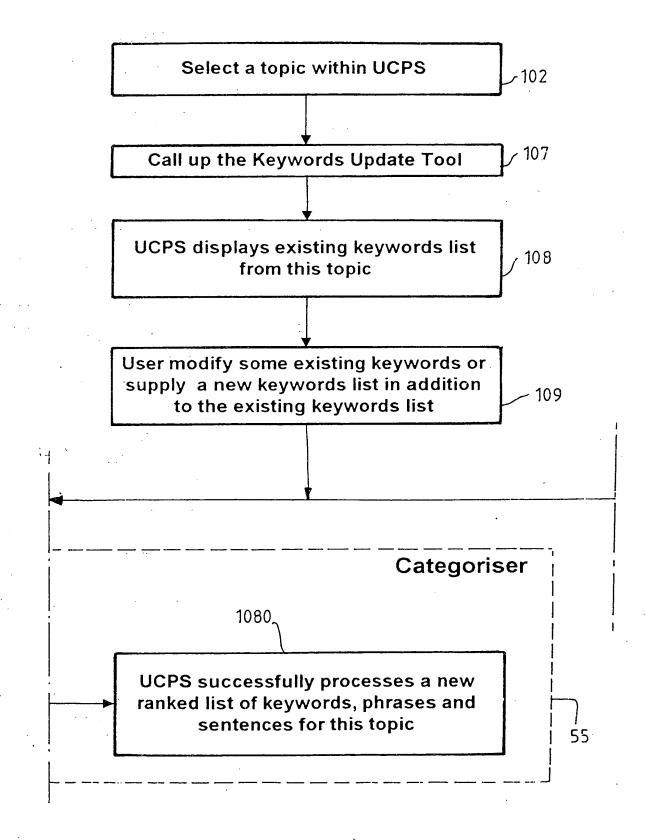


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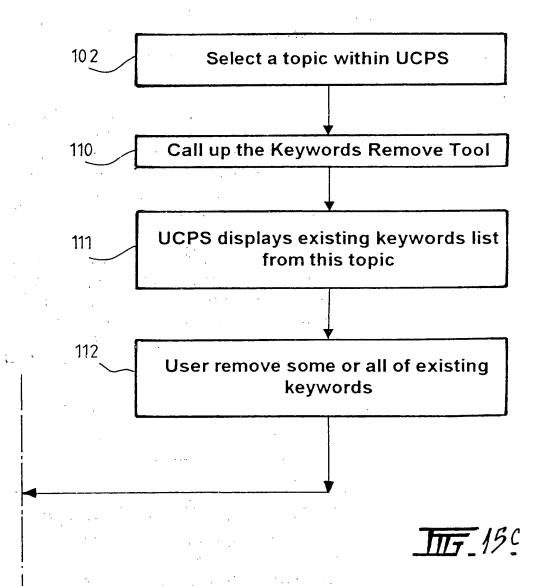
Keywords Input FlowChart



Keywords 近 155 Update FlowChart

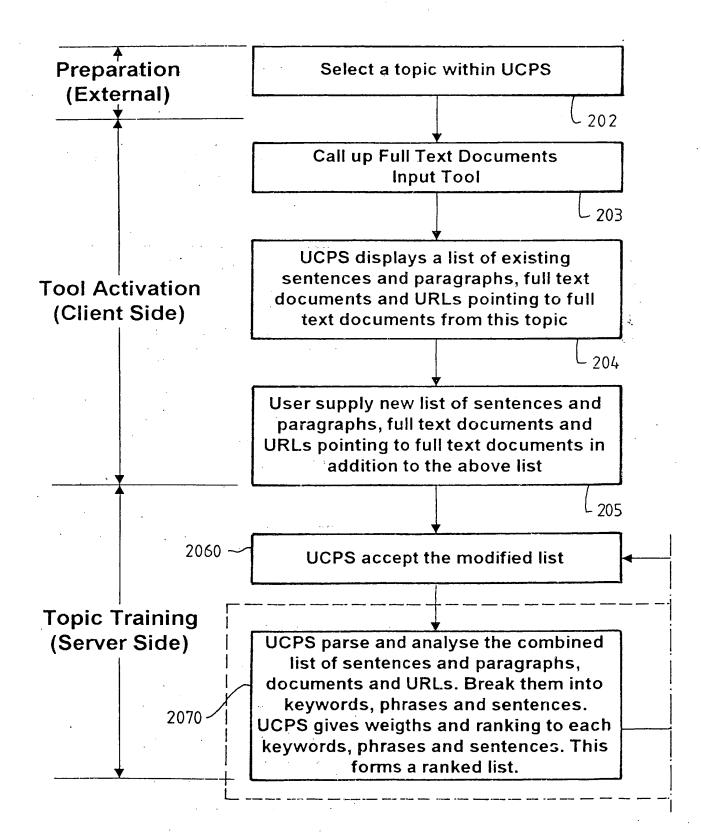


Keywords Remove FlowChart



115.16°

Full Text Documents Input FlowChart



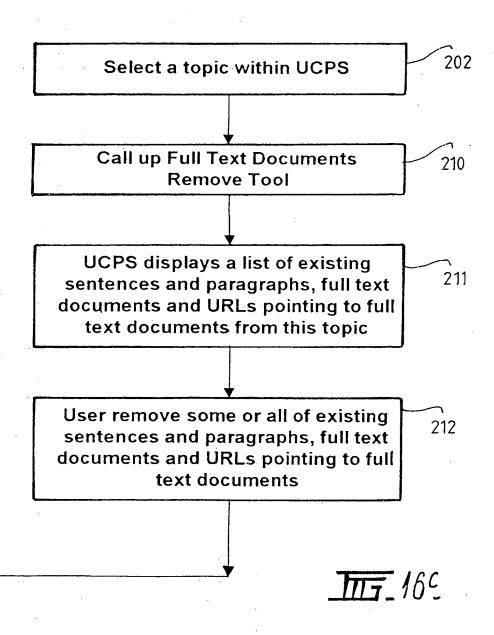


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Select a topic within UCPS 202 Call up Full Text Documents 207 **Update Tool** UCPS displays a list of existing sentences and paragraphs, full text 208 documents and URLs pointing to full text documents from this topic User modify some existing sentences and paragraphs, full text documents and URLs or supply new list of sentences 209 and paragraphs, full text documents and Categoriser 2080-UCPS successfully creates a new ranked

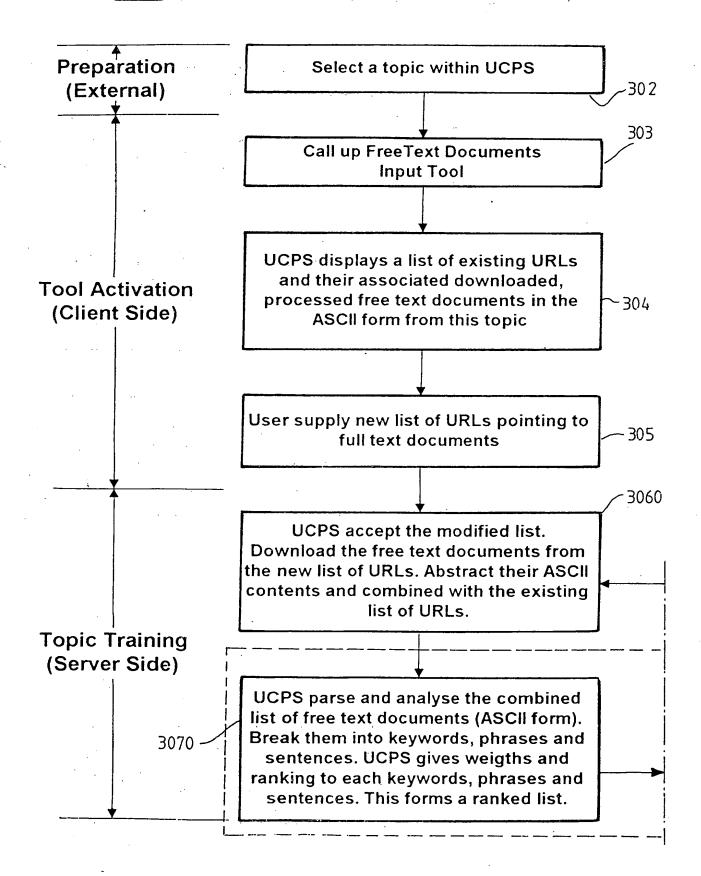
list of keywords, phrases and sentences for this topic

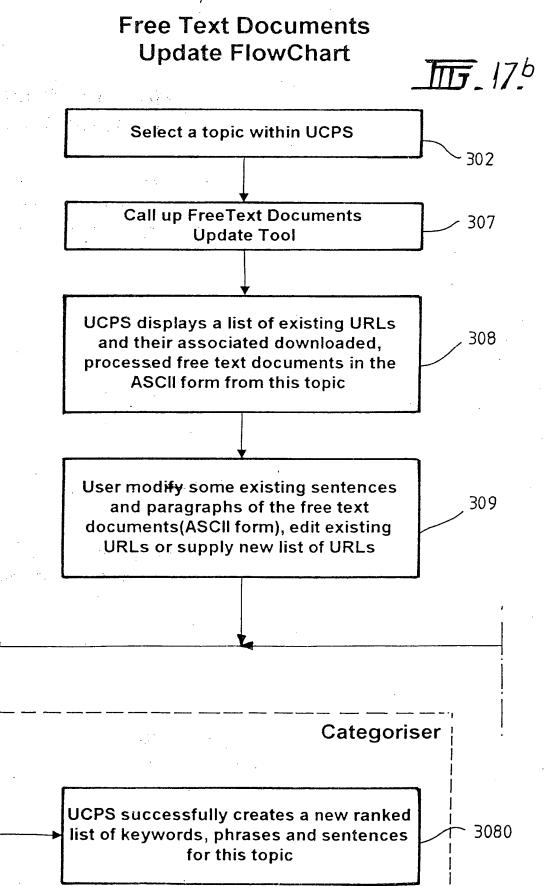
Full Text Documents Remove FlowChart



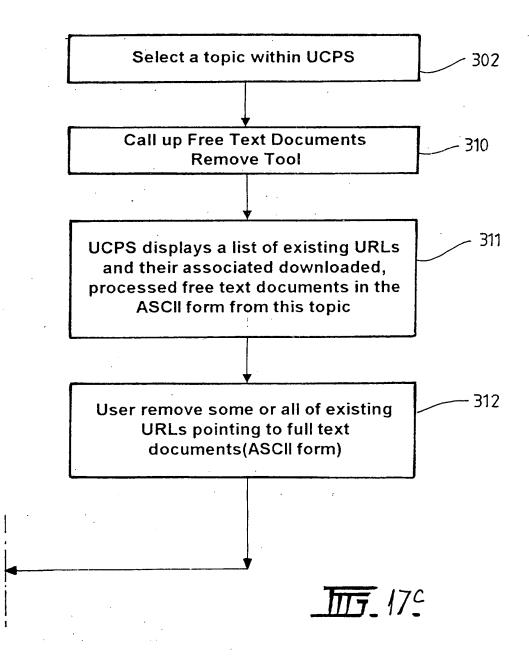
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Free Text Documents Input FlowChart

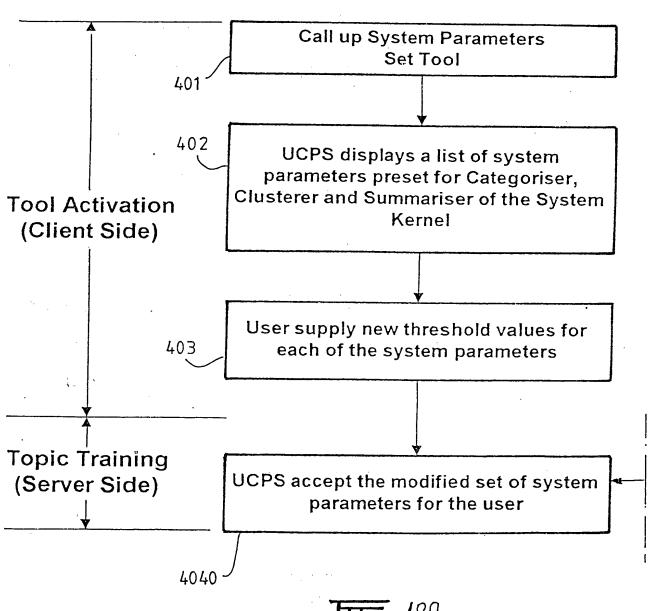




Free Text Documents Remove FlowChart

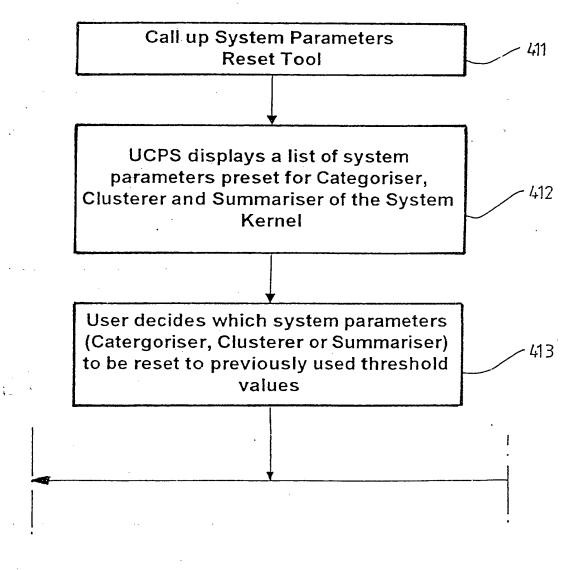


System Parameters Set FlowChart

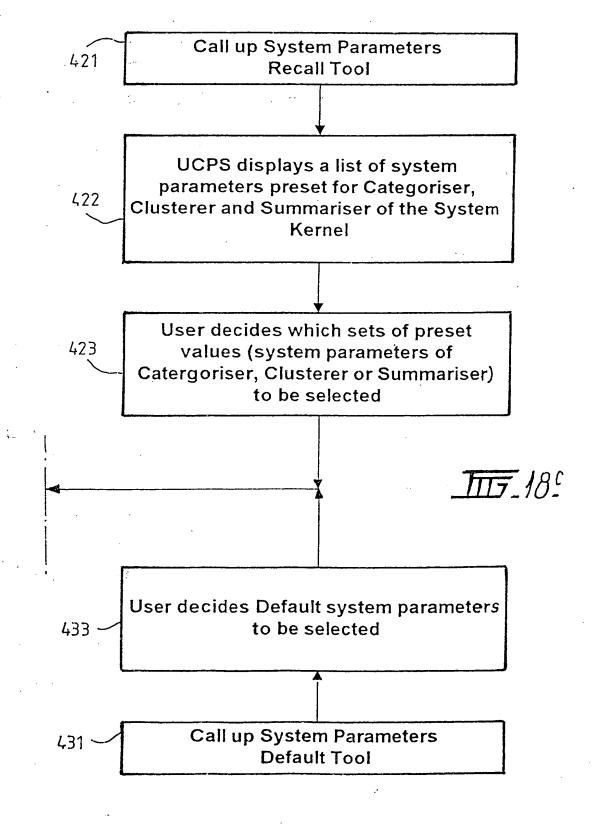


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System Parameters Reset FlowChart



System Parameters Recall FlowChart



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Pewter Tray

- Pewter Collectibles presented by Richard & Gina TioRito
- The Compass Rose
- Figurines and Other Interesting Things Handcrafted and Cast in Fine Pewter
- Care for Pewter
- · Woodworking and Pewter Handcraft Handbook
- · Fine Customised Inlays for Pewter
- Blue Raven Design
- · Beautiful Pewter Gallery
- Pewter Factory
- More About Pewter
- A brief history
- Housebuilding, Carpentry and Pewtry
- This month tip: June 1999
- Design for Pewter
- Buy And Sell of Pewter: The Marketplace
- · Cabinetmaking with pewter decoration
- · Pewter for Desks and Tables
- Richard Bissell Fine Pewtry
- Bridal Gifts
- Danforth Pewterers
- .- Welcome To Ozard Arts
- Flamingo Pewters
- · Royal Selango: Malaysia's Gift To The World
- Pewter Thailand

Pewter Tray

TIT. 19.0

511

Cluster (Buy And Sell)

- Pewter Collectibles presented by Richard & Gina Tio Rito
- Pewter Factory
- Buy And Sell of Pewter: The Marketplace
- · Richard Bissell Fine Pewtry
- Bridal Gifts
- Danforth Pewterers
- Flamingo Pewters
- Royal Selango: Malaysia's Gift To The World
- Online Auction for Golden Millennium Dragon Plaque
- Pewter Thailand
- The Compass Rose

512

Cluster (Design And Handcraft)

- Figurines and Other Interesting Things Handcrafted and Cast in Fine Pewter
- Beautiful Pewter Gallery
- Woodworking and Pewter Handcraft Handbook
- · Blue Raven Design
- · Housebuilding, Carpentry and Pewtry
- · Cabinetmaking with pewter decoration
- · Pewter for Desks and Tables

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Unclustered

- Care for Pewter
- More About Pewter
- A brief history
- This month tip: June 1999
- Welcome To Ozard Arts
- This Month tip: June 1999
- Fine Customised Inlays for Pewter

Pewter Clusters (Clusterer Threshold Setting = 0.3)

19b

- 5111 **Summary**: Pewter is on high demand... Buy And Sell of Pewter: The Marketplace **Bridal Gifts** Online Auction for Golden Millenium Dragon Plaque 51113 -5112 **Summary**: Different pewters available on market... Pewter Collectables presented by Richard & Gina TioRito Pewter Factory The Compass Rose 5113 **Summary**: Good pewters from Danforth... Richard Bissell Fine Pewtry Danforth Pewterers Flamingo Pewters Royal Selango: Malasia's Gift To The World Pewter Thailand . c 5121 **Summary**: Many types of pewters for ... Figurines and Other Interesting Things Handcrafted and Cast in Fine Pewter Woodworking and Pewter Handcraft Handbook Housebuilding, Carpentry and Pewtry Cabinetmaking with pewter decoration 5122 **Summary:** Pewter design captures attention in ... Beautiful Pewter Gallery Blue Raven Design Pewter for Desks and Tables **Pewter Multiple Document Summaries** (Summariser Threshold Setting = 0.2)

Online Auction for Golden Millennium Dragon Plaque (Summarised Text)

limited edition Golden Millennium Dragon Plaque, the highlight of a special collection of pewter items inspired by the majestic dragon. To mark the dawn of a new era, Royal Selangor has launched an online auction for three selected plaques with As the Millennium Golden Dragon Year arrives only once in every three thousand years, Royal Selangor has created a three numbers of "prosperity": 8, 88 and 888.

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而.206

Online Auction for Golden Millennium Dragon Plaque (Original Text)

51113b 7

According to the Chinese lunar calendar, the year 2000 will coincide with the Year of the Dragon. Influenced by the element Millennium Dragon Plaque, the highlight of a special collection of pewter items inspired by the majestic dragon. Limited to of metal in oriental astrology, this year is also referred to as the "Year of the Golden Dragon." As the Millennium Golden only 1,000 plaques worldwide, this exquisite creation, introduced just about three months ago, is almost sold out with Dragon Year arrives only once in every three thousand years, Royal Selangor has created a limited edition Golden demands still coming in from all over the world.

To mark the dawn of a new era, Royal Selangor has launched an online auction for three selected plaques with three numbers of "prosperity": 8, 88 and 888. In addition, Royal Selangor is also conducting a Charity Auction jointly with Nanyang-China Press for plaque number 138, where proceeds will be donated to a charity designated by the joint organisers. These auspicious numbers translate to a wish of a "prosperous life" to the owner of the plaques

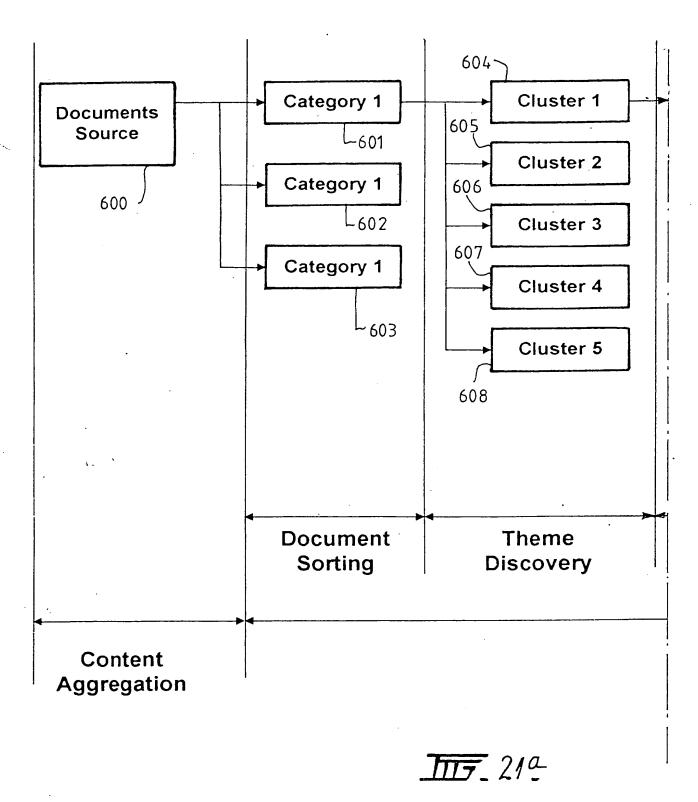
while 24K-gold is used to enhance the body of the dragon and to create a glittering effect. The wooden frame with tastefullypopular symbol of prosperity in Chinese legendary folklore, is seen dancing amidst billowing clouds and playing with a Fire designed pewter-gold corners accentuates the overall impression of luxury and exclusivity. The plaque comes with a serial Royal Selangor's Millennium Golden Dragon Plaque is a befitting objet d'art to usher in the new era. The Flying Dragon, a Pearl. The three-dimensional pewter rendition of the dragon brings out the vigour and dynamism of the mythical creature, number and a certificate of authenticity.

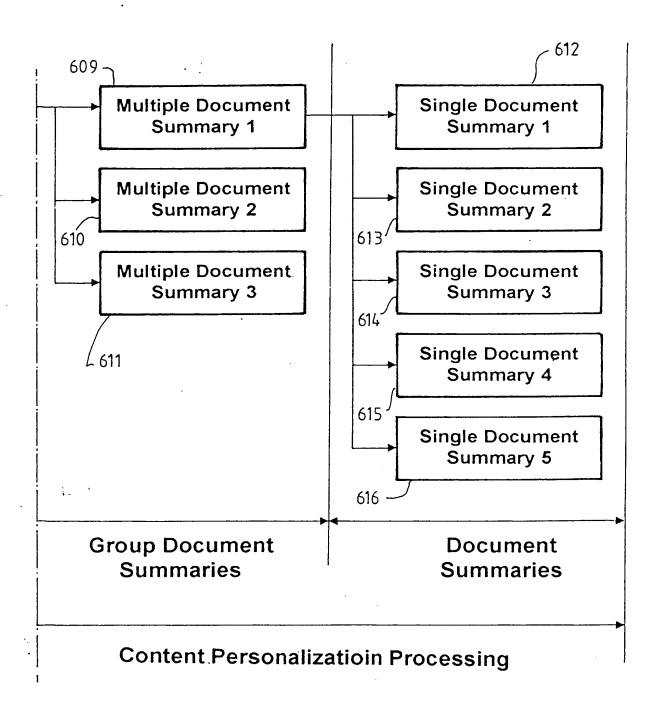
3888. The auction begins at 12 pm, on 13 January 2000 and ends at 12 pm, 2 February 2000. No bid will be accepted before Bids for the three auspicious plaques 8, 88 and 888 will begin at RM 2888 while bids for plaque number 138 will begin at RM call the Online Auction Centre at 03-4021 2121 from Mondays to Fridays, 9 am to 5 pm for information and telephone bids. or after the duration. Bidders can log onto the website at www.royalselangor.com/dragonauction or www.lelong.com.my or

international shipping and a dynamic e-commerce site, which gives access to its exclusive products from virtually anywhere. Royal Selangor Online Shop has been making many innovative steps in the Internet world, with online contests, free This online auction will be a major milestone in Royal Selangor's foray into E-commerce......

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Content Personalization : Knowledge Discovery





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